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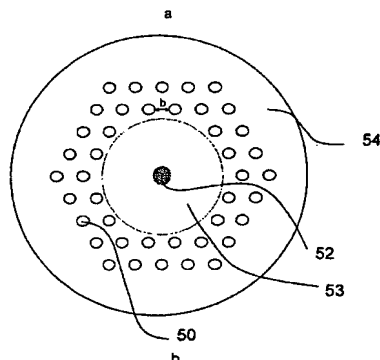
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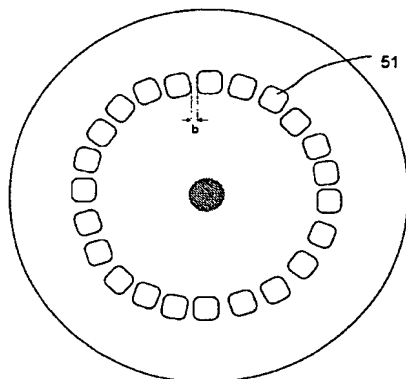
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(54) Title: OPTICAL FIBRE WITH HIGH NUMERICAL APERTURE, METHOD OF ITS PRODUCTION, AND USE THEREOF



(57) Abstract: An article comprising an optical fibre, the fibre comprising at least one core surrounded by a first outer cladding region, the first outer cladding region being surrounded by a second outer cladding region, the first outer cladding region in the cross-section comprising a number of first outer cladding features having a lower refractive index than any material surrounding the first outer cladding features, wherein for a plurality of said first outer cladding features, the minimum distance between two nearest neighbouring first outer cladding features is smaller than 1.0  $\mu\text{m}$  or smaller than an optical wavelength of light guided through the fibre when in use; a method of its production, and use thereof.



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OPTICAL FIBRE WITH HIGH NUMERICAL APERTURE, METHOD OF ITS  
PRODUCTION, AND USE THEREOF

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DESCRIPTION

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1. BACKGROUND OF THE INVENTION

The present invention relates to electromagnetic wave-  
guides, especially optical fibres having high numerical  
10 aperture, such as multimode optical fibres for high-power  
delivery and optical fibres, having rare-earth dopants in  
core and/or cladding region(s) and having waveguiding  
properties designed for high-power amplification and/or  
lasing, a method of its production, and use thereof.

15

THE TECHNICAL FIELD

Over the past few years, cladding pumped fibre lasers and  
amplifiers have become basic tools in research labora-  
20 tories. In contrast to conventional optical fibres, which  
comprise a region of relatively high refractive index -  
the core - surrounded by a region of relatively low  
refractive index - the cladding, cladding pumped (or  
double-clad) fibres consist of a high-index core,  
25 surrounded by a region of intermediate refractive index,  
which in turn is surrounded by a region (typically  
polymer) of low refractive index that does play a role in  
light guiding. Double clad fibres for instance find use  
in high-power (cladding pumped) lasers and amplifiers. In  
30 such components, pump light from low brightness sources,  
such as diode arrays, is easily coupled into the inner  
cladding of double clad fibre due to the inner cladding's  
large cross sectional area and high numerical aperture.  
As the multimode pump light crosses the core, it is  
35 absorbed by the rare earth dopant, and in order to

increase the overlap of the pump light with the core, the inner cladding is often made non-circular. It is important at this point to note that one of the problems of using circular inner-claddings is the possible  
5 excitation of so-called skew rays, which may be envisioned as optical rays of a spiral like shape, i.e., rays that do not overlap strongly with the core region, and, therefore, do not efficiently pump the rare-earth materials located in the core. The idea behind such  
10 double clad designs is described in recent textbooks (e.g., by Becker, Olsson, and Simpson, "Erbium-Doped Fiber Amplifiers, Fundamentals and Technology", Academic Press, 1999, ISBN 0-12-084590-3). However, as it is also described by Becker et al., the inner cladding is often a  
15 glass cladding surrounded by a low index polymer second cladding, which allows the inner cladding to become a guiding structure. Pump light is launched from the fibre end into the undoped cladding, propagating in a multimode fashion and interacting with the doped core as it travels  
20 along the fibre.

In an evaluation of high power fibre laser, Becker et al. describes that a rectangular shape for the multimode section (the inner cladding) is preferred for efficient  
25 coupling of radiation to the inner single-mode core, and matches well the geometric aspect ratio of diode laser pumps. The output of fibre lasers of this type is constrained to be single mode by the single mode fibre core, hence the name brightness converters (from large  
30 area multimode to single mode) is often given to these devices.

High-power fibre lasers are often used to replace solid-state lasers, since well-designed fibre lasers offer  
35 excellent thermal properties, reliability, simplicity,

and compactness (as described by Hodzynski et al. in paper CWA49 of Technical Digest of CLEO'2001, May 6-11, 2001, Baltimore, MD, USA). Cladding pumped lasers and amplifiers find applications not only in telecommunications as high-power erbium-doped fibre amplifiers (EDFAs) and Raman amplifiers, but also in more traditional applications such as narrow band and single frequency pump sources for optical parametric generators and non-linear frequency converters. Also applications such as free space optical communication links, high peak power laser sources are required, and as described by Valley et al. in paper CWA51 of the Technical Digest of CLEO'2001, May 6-11, 2001, Baltimore, MD, USA, a potential candidate is the high-power, cladding pumped, Yb-doped fibre amplifier with a pulse-position-modulated seed oscillator. These principles will also be valid for fibres doped with other rare-earth ions, for operation at other wavelengths. An interesting possibility is described by Söderlund et al. in IEEE Photonics Technology Letters, Vol.13, No.1, Jan.2001, pp.22-24, in which the amplified spontaneous emission (ASE) is described in cladding pumped long-wavelength band erbium-doped fibre amplifiers. It is here shown that with cladding pumping, directional effects of pumping are much reduced by increasing the cladding area. In effect, large cladding area results in more uniform pump power distribution along the fibre length, preventing build-up of short-wavelength gain and ASE power.

Recently a new type of optical fibre that is characterized by a so-called microstructure has been proposed. Optical fibres of this type (which are referred to by several names - as e.g. micro-structured fibres, photonic crystal fibre, holey fibre, and photonic bandgap fibres) have been described in a number of references,

such as WO 99/64903, WO 99/64904, and Broeng et al (see Pure and Applied Optics, pp.477-482, 1999) describing such fibres having claddings defining Photonic Band Gap (PBG) structures, and US patent no. 5,802,236, Knight et  
5 al. (see J. Opt. Soc. Am. A, Vol. 15, No. 3, pp. 748-752, 1998), Monro et al. (see Optics Letters, Vol.25 (4), p.206-8, February 2000) defining fibres where the light is transmitted using modified Total Internal Reflection (TIR). This application covers fibres that are mainly  
10 guiding by TIR. Micro-structured fibres are known to exhibit waveguiding properties that are unattainable using conventional fibres.

In order to increase the amount of pump light that can be  
15 coupled into the fibre, D.J.DiGiovanni and R.S.Windeler has described a new air-clad fibre design in United States Patent No. 5,907,652. DiGiovanni et al. discloses a cladding-pumped optical fibre structure that facilitates improved coupling of pump radiation into the  
20 fibre. Another aspect of the fibres disclosed by DiGiovanni et al. is to optically isolate the inner cladding from the outer structure in order to avoid re-coating induced changes in optical properties of fibre Bragg gratings written in the fibre by ultra-violet (UV)  
25 light. The fibres according to the description of DiGiovanni et al. have increased numerical aperture (NA) resulting from provision of a cladding region having substantially lower effective refractive index than was found in the prior art. This was achieved by making the  
30 first outer cladding region substantially an air-clad region.

The application of microstructured fibres - or photonic crystal fibres - in connection with ytterbium-doping has  
35 been suggested and reported by W.J. Wadsworth et al. in

IEE Electronics Letters, Vol.36, pp.1452-1453, 2000. Furthermore, the issue of high-power levels giving rise to undesired non-linearities or physical damage has been addressed very recently by W.J. Wadsworth et al. in paper  
5 CWC1 of the Technical Digest of CLEO'2001, May 6-11, 2001, Baltimore, MD, USA. The approach of W.J.Wadsworth et al. is to combine the single-mode and large-mode area properties of photonic crystal fibres with ytterbium co-doping. Moreover, it is pointed out by Wadsworth et al.  
10 that care must be taken that any doped regions within the PCF do not themselves form waveguides. To avoid this the core of the presented ytterbium-doped fibre has been microstructured into 425 doped regions with diameters of less than 250 nm each - hereby forming an effective index  
15 medium with an area filling fraction of the doped glass of a few percent resulting in an effective step, which is insufficient for strong guidance. Wadsworth et al. furthermore mentions the potential of this technology to scale to even larger cores, high output powers and for  
20 efficient cladding pumping from diode laser arrays using high-numerical-aperture double-clad microstructures.

In a recent publication by Doya, Legrand, and Mortessagne, (Optics Letters, Vol.26, No.12, June 15,  
25 2001, pp.872-874) an optimised absorption of pump power is described for a fibre with a D-shaped inner cladding. Doya et al. uses a ray trajectory in the transverse section of the D-shaped fibre inner cladding to argue a well distributed pump distribution (avoiding the previously mentioned skew rays).  
30

Russell et al. in WO 0142829 describe microstructured fibres for use as lasers for example as cladding pumped devices. The fibres described by Russell et al. are  
35 characterized by a micro-structured inner cladding having

a large (more than 10) number of low-index features that are arranged in a periodic manner. The inner cladding region of the fibres described by Russell et al. are further characterized by a symmetric outer shape - as for  
5 example a circular or rectangular shape.

In order to achieve a high NA, DiGiovanni et al. in United States Patent No. 5,907,652 describe that the first outer cladding region (also named the air-clad)  
10 should "...to a large extent be empty space, with a relatively small portion (typically <50%, preferably <25%) of the first outer cladding region being a support structure (the "web") that fixes the second outer cladding region relative to the inner cladding region."  
15 As shall be demonstrated under the detailed description of the present invention, the present inventors have, however, realised that it is not necessarily sufficient to have an air-clad region with a large air filling fraction for the fiber to exhibit a high NA of the inner  
20 cladding. In fact, the present inventors have realized that it is necessary to have the "web" designed in a specific manner that relates to the thickness of the threads of the "web" compared to the optical wavelength of light guided in the inner cladding in order to achieve  
25 a high NA.

It is a disadvantage of the fibres described by DiGiovanni et al. in United States Patent No. 5,907,652 that the "web" has not been optimized for a high NA.  
30

It is a further disadvantage of the fibres described by DiGiovanni et al. in United States Patent No. 5,907,652 that the cross-section of the inner-cladding in the shown examples are substantially circular. This may lead to the



appearance of skew rays of the pump, and result in non-optimal pumping of the core region.

It is a further disadvantage of the fibres described by DiGiovanni et al. in United States Patent No. 5,907,652 that the inner cladding is not microstructured, i.e., control of the effective refractive index of the inner cladding has not been described, or moreover the use of specific placements of air-holes or voids in the inner cladding has not been explored.

It is a disadvantage of the Yb-codoped fibres reported by W.J. Wadsworth et al. in IEE Electronics Letters, Vol.36, pp.1452-1453, 2000 that they do not treat the issue of pump power distribution in multimode regions such as it is generally used in double clad fibres for high power applications.

It is a disadvantage of the D-shaped fibres described by Doya et al. that the advantages of microstructuring have not been used. It is a further disadvantage that the D-shaped fibre design require significant complexity in preform treatment (often involving long time-polishing), which may result in fibre glass defects.

It is a disadvantage of the fibres presented by Russell et al. that the shape of the inner cladding region is symmetric. It may be a further disadvantage that the fibres presented by Russell et al. have a large number of low-index features in the inner cladding region, as these will act to lower the NA of modes in the inner cladding compared to inner cladding features having none or a low number of low index features (less than 10). It may be a further disadvantage of the fibres presented by Russell et al. that the low-index features of the inner cladding

region are periodically arranged. The present inventors have realised that non-periodic arrangement of features in the inner cladding region may provide a more efficient coupling between cladding modes and mode(s) guided in the fibre core.

## 2. DISCLOSURE OF THE INVENTION

### 10 Object of the Invention

It is the object of the present invention to provide a new class of optical waveguides, for which improved coupling into cladding pumped optical fibres may be obtained through optimal designs of micro-structured outer cladding regions that provide high NA for mode(s) of an inner cladding region.

It is a further object of the present invention to provide a new class of optical waveguides, in which improved efficiency of cladding pumped optical fibres may be obtained through optimal design of micro-structured inner cladding regions. Hereby, a more dynamical design of effective index - and a higher degree of flexibility concerning a given spatial pump distribution - is possible.

It is a further object of the present invention to provide new and improved cladding pumped devices in which the mode propagation properties of the photonic bandgap effect may be combined with a microstructured inner cladding for high power amplification and lasing

It is still a further object of the present invention to provide improved fibre laser and amplifiers, which

combines the feasibility of accurate spatial mode control of microstructured optical fibres with multimode pumping properties, and optimal placement of the active medium, e.g., the rare-earth-doped material.

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Solution according to the Invention

The present inventors have realised that the use of low index cladding features with a relatively narrow area between neighbouring low-index features may be required in order to realise cladding pumped fibre amplifiers and lasers with a high NA of a fibre structure guiding a number of inner, cladding modes. The present inventors have realised an important relation between width of the above-mentioned areas and the optical wavelength of inner, cladding modes. The high NA of fibres, which may be obtained in fibres according to the present invention, provides advantages with respect to efficient coupling of light from laser sources into the fibres. The high NA may further provide advantages with respect to efficient transfer of energy from cladding modes in an inner cladding to mode(s) of the core.

According to a first aspect of the invention, there is provided an optical fiber comprising an optical fibre, the fibre comprising at least one core surrounded by a first outer cladding region, the first outer cladding region being surrounded by a second outer cladding region, the first outer cladding region in the cross-section comprising a number of first outer cladding features having a lower refractive index than any material surrounding the first outer cladding features, wherein for a plurality of said first outer cladding features, the minimum distance between two nearest

- neighbouring first outer cladding features is smaller than  $1.0\text{ }\mu\text{m}$  or smaller than an optical wavelength of light guided through the fibre when in use. Here, the core may be surrounded by an outer cladding, the outer cladding comprising the first and the second outer cladding regions with the first outer cladding region arranged between the core and the second outer cladding region.
- 10 For the present invention, the minimum distance between two nearest neighbouring outer cladding features is meant to be the minimum distance between the outer boundaries of two nearest neighbouring cladding features.
- 15 It should be understood that when looking at a fibre of a given length, the cross-sectional dimensions of the fibre may vary along the length of the fibre. Thus, the present invention covers articles having a fibre, which in at least one cross-sectional area along the fibre length is given by one or more of the herein described embodiments. Here, the at least one cross-sectional area may represent an end surface of the fibre. It is also within a preferred embodiment that the at least one cross-sectional area represents a largest cross-sectional area
- 20 along the fibre length.
- 25

According to a preferred embodiment of the invention, the fibre may be dimensioned so that the cross-sectional area of the fibre has a variation along the fibre length, which is not higher than 15% or not higher than 10%.

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According to an embodiment of the present invention, the first outer cladding region in the cross section may have an inner diameter or inner cross-sectional dimension being larger than or equal to  $15\text{ }\mu\text{m}$ . Here, the inner

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diameter or inner cross-sectional dimension of the first outer cladding region may be larger than or equal to 20  $\mu\text{m}$ . Preferably, the inner diameter or inner cross-sectional dimension of the first outer cladding region is  
5 in the range from 80-125 $\mu\text{m}$  or in the range from 125-350 $\mu\text{m}$ .

The present invention also covers an embodiment in which the fibre comprises a number of cores with a plurality of  
10 said number of cores each being surrounded by a corresponding first outer cladding region, the cores and the first outer cladding regions being surrounded by the second outer cladding region, each of the first outer cladding regions in the cross-section comprising a number  
15 of first outer cladding features having a lower refractive index than any material surrounding the first outer cladding features, wherein for a plurality of the first outer cladding features of each of said first outer cladding regions, the minimum distance between two  
20 nearest neighbouring first outer cladding features is smaller than 1.0  $\mu\text{m}$  or smaller than an optical wavelength of light guided through the fibre when in use.

Thus, according to a second aspect of the present  
25 invention, there is provided an article comprising an optical fibre, the fibre comprising a number of cores with a plurality of said number of cores each being surrounded by a corresponding first outer cladding region, the cores and the first outer cladding regions  
30 being surrounded by a second outer cladding region, each of the first outer cladding regions in the cross-section comprising a number of first outer cladding features having a lower refractive index than any material surrounding the first outer cladding features, wherein for a  
35 plurality of the first outer cladding features of each of

said first outer cladding regions, the minimum distance between two nearest neighbouring first outer cladding features is smaller than  $1.0\text{ }\mu\text{m}$  or smaller than an optical wavelength of light guided through the fibre when  
5 in use.

In a more specific aspect, the present invention provides an optical fibre for guiding light of at least one predetermined wavelength, the optical fiber having a  
10 longitudinal direction and a cross-section perpendicular thereto, the optical fibre comprising:

(a) at least one core region;

15 (b) a cladding region, said cladding region comprising:

an outer cladding, said outer cladding comprising:

(i) a first outer cladding region, said first outer  
20 cladding region comprising a first outer cladding background material and a plurality of first outer cladding features, said first outer cladding features having a lower refractive index than said first outer cladding background  
25 material, and surrounding said at least one core region, and

(ii) at least one further outer cladding region, each  
of said at least one further outer cladding  
30 regions comprising a further outer cladding background material, and surrounding said first outer cladding region,

wherein for a plurality of said first outer cladding  
35 features, two nearest neighbouring first outer cladding

features have a minimum distance smaller than the wavelength of said light of at least one predetermined wavelength whereby an optical fibre having a high NA can be obtained.

5

In another aspect the present invention provides a method of producing an optical fibre for guiding light of at least one predetermined wavelength, the method comprising:

10

(a) providing a preform, said preform comprising:

(i) at least one centre preform element for providing a core region of the optical fibre, said center preform element comprising at least one element selected from the group consisting of rods, tubes, or combinations thereof;

15

(ii) a plurality of inner cladding preform elements for providing an inner cladding region of the optical fibre, said inner cladding preform elements comprising at least one element selected from the group consisting of rods, tubes, or combinations thereof;

20

25

(iii) a plurality of first outer cladding preform elements for providing a first outer cladding region of the optical fibre, said first outer cladding preform elements comprising a plurality of elements selected from the group consisting of rods, tubes, or combinations thereof;

30

- 5 (iv) optionally a plurality of further outer cladding preform elements for providing at least one further outer cladding region of the optical fibre, said further outer cladding preform elements comprising a plurality of elements selected from the group consisting of rods, tubes, or combinations thereof; and
- 10 (v) an overcladding preform element for providing an outer diameter of the optical fibre, said overcladding preform element comprising an element in form of a tube; and

15 (b) drawing said preform into a fibre;

wherein said first outer cladding preform elements are arranged to provide a minimum distance between two neighbouring first outer cladding elements of the optical fibre which is smaller than the wavelength of said light of at least one predetermined wavelength to be transmitted in the optical fibre.

Preferred embodiments are disclosed in the claims and further discussed hereinbelow.

25

For the embodiments of the present invention having a plurality of a number of cores each being surrounded by a corresponding first outer cladding region, it is preferred that each of said number of cores are surrounded by a first outer cladding region. It is also preferred that at least part of the plurality of cores each being surrounded by a corresponding first outer cladding region are arranged so that the first outer cladding regions of two neighbouring cores share a number of said first outer cladding features. Here, all of the plurality of cores

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each being surrounded by a first outer cladding region may be arranged so that the first outer cladding regions of two neighbouring cores share a number of said first outer cladding features.

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For the embodiments of the present invention having a plurality of a number of cores each being surrounded by a corresponding first outer cladding region, it is preferred that a number or all of the first outer cladding regions in the cross section have an inner diameter or inner cross-sectional dimension being in the range of 5-100  $\mu\text{m}$ . Here, a number or all of the first outer cladding regions in the cross section may have an inner diameter or inner cross-sectional dimension being in the range of 30-60  $\mu\text{m}$ . It is also preferred that the plurality of cores each being surrounded by a first outer cladding region comprises at least 20 cores, such as at least 100 cores, such as at least 1000 cores, or such as at least 3000 cores.

20

The present invention also covers an embodiment in which the second outer cladding region is part of an outer cladding, which further comprises third and fourth outer cladding regions with the third outer cladding region arranged between the second and the fourth outer cladding region, the third outer cladding region in the cross-section comprising a number of third outer cladding features having a lower refractive index than any material surrounding the third outer cladding features, wherein for a plurality of said third outer cladding features, the minimum distance between two nearest neighbouring third outer cladding features is smaller than 1.0 $\mu\text{m}$  or smaller than an optical wavelength of light guided through the fibre when in use.

35

When for a plurality of said first and/or third outer cladding features, the minimum distance between two nearest neighbouring outer cladding features is smaller than 1.0  $\mu\text{m}$ , it is within a preferred embodiment that for  
5 a plurality of said first and/or third outer cladding features the minimum distance between two nearest neighbouring outer cladding features is smaller than 0.8  $\mu\text{m}$ . Here, the minimum distance may be smaller than 0.5  $\mu\text{m}$ , such as smaller than 0.4  $\mu\text{m}$ , such as smaller than 0.3  $\mu\text{m}$ ,  
10 or such as smaller than 0.2  $\mu\text{m}$ .

When for a plurality of said first outer cladding features the minimum distance between two nearest neighbouring first outer cladding features is smaller  
15 than an optical wavelength of light guided through the fibre when in use, it is preferred that for a plurality of said first outer cladding features, the minimum distance between two nearest neighbouring first outer cladding features is smaller than a shortest optical  
20 wavelength of light guided through the fibre. Also, when for a plurality of said third outer cladding features the minimum distance between two nearest neighbouring third outer cladding features is smaller than an optical wavelength of light guided through the fibre when in use,  
25 it is preferred that for a plurality of said third outer cladding features, the minimum distance between two nearest neighbouring third outer cladding features is smaller than a shortest optical wavelength of light guided through the fibre.

30 According to an embodiment of the invention, the core or cores may have a cross-sectional dimension larger than 25  $\mu\text{m}$ . Here, the cross-sectional dimension may be larger than 50  $\mu\text{m}$ , such as larger than 75  $\mu\text{m}$ , or such as larger

than 100  $\mu\text{m}$ , thereby causing the fibre to guide light in multiple modes within the core.

The present invention further covers an embodiment in  
5 which one or more of the cores is/are surrounded by an inner cladding region having an effective refractive index with a lower value than the effective refractive index of the core being surrounded, said inner cladding regions being part of an inner cladding. Here, each inner  
10 cladding region may be surrounded by a corresponding first outer cladding region. It is preferred that the core or cores is/are contactingly surrounded by the inner cladding region or regions.

15 It is preferred that for one or more first outer cladding regions the first outer cladding features occupy a relatively large area of the first outer cladding region. Thus, the first outer cladding features may occupy 45% or more of the cross-sectional area of the one or more first  
20 outer cladding regions. It is preferred that for all the first outer cladding regions the first outer cladding features may occupy 45% or more of the cross-sectional area of the first outer cladding regions. It is also within preferred embodiments that, the first outer  
25 cladding features may occupy at least 50%, such as at least 60%, or such as at least 70% of the cross-sectional area of the first outer cladding region or regions. It is also within an embodiment of the invention that the third outer cladding features occupy 45% or more of the third  
30 outer cladding. Here, the third outer cladding features may occupy at least 50%, such as at least 60%, or such as at least 70% of the cross-sectional area of the third outer cladding.

The present inventors have also realised that the application of microstructured cladding regions allow for a much more flexible design and mode-control concerning the multi-mode pump waveguide, and moreover that accurate  
5 placement of one or a few elongated elements in the inner cladding region may provide an optimal distribution of the pump power along the fibre amplifier or laser. Thus, according to an embodiment of the invention, the inner cladding or one or more of the inner cladding regions in  
10 the cross-section may comprise one single inner cladding feature. Alternatively, the inner cladding or one or more of the inner cladding regions in the cross-section may comprise at least two inner cladding features, and it is preferred that the inner cladding or one or more of the  
15 inner cladding regions in the cross-section comprise(s) less than 10 inner cladding features. The inner cladding features may be placed in a non-periodic manner.

According to an embodiment of the present invention, the  
20 optical fibre may comprise at least two cores being surrounded by a common first outer cladding region. Here, the optical fibre may comprise even more cores, such as at least 7 cores, such as at least 19 cores, or such as at least 37 cores being surrounded by a common first  
25 outer cladding region. The optical fibre may also or alternatively comprise a plurality of cores in a substantially annular arrangement with the plurality of cores being surrounded by the common first outer cladding region. Preferably, the plurality of substantially  
30 annular arranged cores are arranged in an inner cladding so that a major part of the inner cladding are surrounded by the substantially annular arranged cores, said inner cladding having an effective refractive index with a lower value than the effective refractive index of each  
35 of said substantially annular arranged cores.

For a fibre having at least two cores surrounded by the common first outer cladding region, the distance between one set of two nearest neighbouring cores may be substantially identical to the distance between other sets of two nearest neighbouring cores. It is preferred that each of said cores being surrounded by a common first outer cladding region is surrounded by an inner cladding region having an effective refractive index with a lower value than the effective refractive index of the core being surrounded, said inner cladding regions being part of an inner cladding being surrounded by the common first outer cladding region. Also here, the inner cladding in the cross-section may comprise a number of inner cladding features, such as at least two inner cladding features, such as at least 10 inner cladding features. It is preferred that at least part of the inner cladding features are arranged so that a for an inner cladding region surrounding one of said cores, said inner cladding region comprises several inner cladding features. It is also preferred that the inner cladding features of an inner cladding region are periodically arranged.

The present invention also covers an embodiment in which the optical fibre comprises a core being surrounded by an inner cladding having a number of inner cladding features being periodically distributed in two dimensions within said inner cladding, said inner cladding being surrounded by a first outer cladding region. It is preferred that the core comprises a core feature having a lower refractive index than the refractive index of the core material surrounding the core feature. It is also preferred that the periodically arrangement of the inner

cladding features comprises at least 4 or 5 periods in a radial direction from the centre of the inner cladding.

The first and/or third outer cladding features may be placed in a periodic or non-periodic manner. However, it is preferred that first and/or third outer cladding features are placed in a non-circular symmetric manner. It is also within an embodiment of the invention that the first outer cladding region in the cross section has a substantially hexagonal like shape.

The first outer cladding features may be of about equal size, but the invention also covers embodiments in which first outer cladding features of different size are present.

It is preferred that the first and/or third outer cladding features and/or the inner cladding features are voids, and it is preferred that the first and/or third outer cladding features and/or the inner cladding features are filled with vacuum, air, a gas, a liquid or a polymer or a combination thereof. Thus, according to an embodiment of the invention, the first and/or third cladding features may be voids filled with air.

For embodiments having an inner cladding with core(s) being surrounded by an inner cladding or an inner cladding region, it is preferred that the core(s) has/have a cross-sectional dimension smaller than  $10\mu\text{m}$ . This may adapt the fibre to guide light in the core in a single mode. It is preferred that the core(s) comprise(s) at least one member of the group consisting of Ge, Al, P, Sn and B. However, the invention also covers embodiments in which the core(s) comprise(s) at least one rare earth, such as Er, Yb, Nd, La, Ho, Dy and/or Tm.

It is also within embodiments of the present invention that the fibre may have a long period grating or a fibre Bragg grating along at least a part of the fibre length.

5

It should be understood that different materials may be used for producing the fibre of the invention. Here, the fibre may comprise a background material being silica, chalcogenide, or another type of glass. It is also within  
10 the invention that the fibre may comprise a background material being polymer.

The present inventors have realised how the flexible design of microstructured optical fibres may be used for  
15 enhanced coupling efficiency for a pump light source (e.g., a semiconductor laser array), and how such designs may be readily fabricated using a preform fabrication technique that ensures a detailed control of the micro-structured elements.

20

One of the fundamental problems to be solved by the invention is to obtain a better transversal and longitudinal pump power distribution in fibre lasers and amplifiers by optimal combinations of microstructured  
25 elements in the inner cladding and an improved mode control of signal and pump modes in cladding pumped fibres through microstructuring of the outer cladding.

Thus, for embodiments of the invention comprising an  
30 inner cladding, the article of the invention may be a cladding pumped fibre laser or amplifier. Here, the article may be a cladding pumped fibre laser or amplifier comprising a pump radiation source and a length of said optical fibre. Thus, when the minimum distance between  
35 two nearest neighbouring outer cladding features is

smaller than a shortest optical wavelength, the shortest optical wavelength may be determined by the wavelength of said pump radiation source.

- 5 It is also within an embodiment of the invention that the optical fibre in the cross-section has a non-uniform shape of the inner cladding region along the fibre length.
- 10 According to an embodiment of the invention, the first and/or third outer cladding features may be periodically distributed. Hereby, the fibre may exhibit photonic bandgap effects, which may confine cladding modes in the core and/or in the inner cladding region.
- 15 It should be understood that for fibres having an inner cladding, the inner cladding region may act as a reservoir for light generated in the core. For fibres having an inner cladding, it is also within the invention
- 20 that the optical fibre may comprise at least two cores for high power broadband amplification. The optical fibres of the present invention having an inner cladding may be optically pumped bi-directionally.
- 25 For fibres having inner cladding features, the fibre core material may be the same as the background material of the inner cladding region.

The present invention also covers embodiments wherein,

30 for a majority or all of said first outer cladding features, the minimum distance between two nearest neighbouring outer cladding features is smaller than 1.0  $\mu\text{m}$ . Here, for a majority or all of said first outer cladding features, the minimum distance between two nearest

35 neighbouring outer cladding features may be smaller than



0.8  $\mu\text{m}$ , such as smaller than 0.5  $\mu\text{m}$ , such as smaller than 0.4  $\mu\text{m}$ , such as smaller than 0.3  $\mu\text{m}$ , or such as smaller than 0.2  $\mu\text{m}$ .

5 Furthermore, the present invention also covers embodiments wherein, for a majority or all of said first outer cladding features, the minimum distance between two nearest neighbouring outer cladding features is smaller than an optical wavelength of light guided through the  
10 fibre when in use. Here, the optical wavelength may be a shortest optical wavelength of light guided through the fibre when in use.

Similarly, the present invention also covers embodiments  
15 wherein, for a majority or all of said third outer cladding features, the minimum distance between two nearest neighbouring outer cladding features is smaller than 1.0  $\mu\text{m}$ . Here, for a majority or all of said third outer cladding features, the minimum distance between two  
20 nearest neighbouring outer cladding features may be smaller than 0.8  $\mu\text{m}$ , such as smaller than 0.5  $\mu\text{m}$ , such as smaller than 0.4  $\mu\text{m}$ , such as smaller than 0.3  $\mu\text{m}$ , or such as smaller than 0.2  $\mu\text{m}$ . Furthermore, the present invention also covers embodiments wherein, for a majority or  
25 all of said third outer cladding features, the minimum distance between two nearest neighbouring outer cladding features is smaller than an optical wavelength of light guided through the fibre when in use. Here, the optical wavelength may be a shortest optical wavelength of light  
30 guided through the fibre when in use.

It should be understood that it is within a preferred embodiment of the invention that the outer cladding has an effective refractive no with a value lower than the  
35 effective refractive index of the core or any of the

cores. It is also preferred that the outer cladding has an effective refractive index no, with a value lower than the effective refractive index of the inner cladding or inner cladding regions. Here, the effective refractive  
5 index of the inner cladding or inner cladding regions should be lower than the effective refractive index of the core or any of the cores.

According to an embodiment of the invention the second  
10 outer cladding region may be made of a homogeneous material.

For the first outer cladding features it is within an embodiment of the invention that the largest cross-sectional dimension are equal to or below 10  $\mu\text{m}$ . Here,  
15 the largest cross-sectional dimension of the first outer cladding features may be equal to or below 3  $\mu\text{m}$ .

For fibres according to the present invention having an  
20 inner cladding, it is within an embodiment of the invention that the inner cladding comprises a background material and a plurality of features, said features having a refractive index being higher and/or lower than the refractive index of the background material of the  
25 inner cladding. Here, the inner features may be substantially periodically arranged within two dimensions, or the inner features may be radial periodically arranged. It is preferred that the inner cladding features have a lower index than the refractive index of  
30 the background material, and the inner cladding features may be voids. The inner cladding features may be filled with vacuum, air, a gas, a liquid or a polymer or a combination thereof. When the inner cladding features have a lower index than the refractive index of the inner  
35 cladding background material, the inner cladding features

may have a cross-sectional diameter or dimension in the range of 0.3-0.6 times or 0.3-0.5 times a centre-to-centre spacing between neighbouring inner cladding features. Here, the centre-to-centre spacing between  
5 neighbouring inner cladding features may be an average centre-to-centre spacing. The centre-to-centre spacing may be larger than 5 $\mu$ m, such as in the range of 8-12 $\mu$ m, or such as about 10 $\mu$ m. It is also within one or more embodiments of the invention that the core comprises one  
10 or more dopants for raising or lowering the refractive index above the refractive index of the background material of the inner cladding. The outer diameter of the inner cladding may be within the range from 60-400 $\mu$ m, or within the range from 200-400 $\mu$ m.

15 The present invention furthermore covers embodiments wherein the optical fibre has a length with a first end and a second end, and wherein the cross-sectional area of the first outer cladding features in the first end are  
20 larger than any cross-sectional area of first outer cladding features in the second end. Here, the second end may comprise no first outer cladding features, or the first outer cladding features may be fully collapsed in the second end.

25 Similarly, the present invention covers embodiments wherein the optical fibre has a length with a first end and a second end, and wherein the cross-sectional area of the third outer cladding features in the first end are  
30 larger than any cross-sectional area of third outer cladding features in the second end. Also here, the second end may comprise no third outer cladding features, or the third outer cladding features may be fully collapsed in the second end.

35

It should be understood that according to the present invention, the first outer cladding features may be elongate features extending in a fibre axial direction. Similarly, the third outer cladding features may be  
5 elongate features extending in a fibre axial direction, and also the inner cladding features may be elongate features extending in a fibre axial direction.

For fibres having an inner cladding it is within an  
10 embodiment of the present invention that the background material surrounding the first outer cladding features or the bridging material fulfilling the area between neighbouring first outer cladding features has a lower refractive index than the refractive index of the  
15 background material of the inner cladding.

It is also within the present invention that the article according to one or more embodiments of the invention is an endoscope.  
20

It is to be understood that both the foregoing general description and the following detailed description are merely exemplary of the invention, and are intended to provide an overview or framework for understanding the  
25 nature and character of the invention as it is claimed. The accompanying figures are included to provide a further understanding of the invention, and are incorporated in and constitute a part of the invention. The invention is not limited to the described examples.  
30 The figures illustrate various features and embodiments of the invention, and together with the description serve to explain the principles and operation of the invention. Where indicated, the figures are used to describe prior art.

35

Definition of expressions and terms

The term "article comprising an optical fiber" is intended to be interpreted broadly. For example it includes the optical fiber itself, e.g. an optical fiber coated with a fiber coating; an optical fiber product comprising the optical fiber in e.g. cabling; an optical fiber product comprising the optical fiber as an optical component; or an optical communication system or parts thereof comprising the optical fibre.

For micro-structures, a directly measurable quantity is the so-called "filling fraction" that is the volume of disposed features in a micro-structure relative to the total volume of a micro-structure. For fibres that are invariant in the axial fibre direction, the filling fraction may be determined from direct inspection of the fibre cross-section.

In this application we distinguish between "refractive index", "geometrical index" and "effective index". The refractive index is the conventional refractive index of a homogeneous material. The geometrical index of a structure is the geometrically weighted refractive index of the structure. As an example, a structure consisting of 40% air (refractive index = 1.0) and 60% silica (refractive index  $\approx 1.45$ ) has a geometrical index of  $0.4 \times 1.0 + 0.6 \times 1.45 = 1.27$ . The procedure of determining the effective refractive index, which for short is referred to as the effective index, of a given micro-structure at a given wavelength is well-known to those skilled in the art (see e.g., Joannopoulos et al., "Photonic Crystals", Princeton University Press, 1995 or Broeng et al., Optical Fiber Technology, Vol. 5, pp.305-330, 1999).

Usually, a numerical method capable of solving Maxwell's equation on full vectorial form is required for accurate determination of the effective indices of micro-structures. The present invention makes use of employing such a method that has been well-documented in the literature (see previous Joannopoulos-reference). In the long-wavelength regime, the effective index is roughly identical to the weighted average of the refractive indices of the constituents of the material, that is, the effective index is close to the geometrical index in this wavelength regime. Naturally, for a homogeneous medium, the effective refractive index is identical to the refractive index.

15

### 3. BRIEF DESCRIPTION OF THE DRAWINGS

The functionality and additional features of the invention will become clearer upon consideration of the different embodiments now to be described in detail in connection with the accompanying drawings. In the figures:

20

Fig. 1 shows a double-clad fibre according to prior art, in which the inner cladding is circular.

25

Fig. 2 illustrates a double-clad fibre according to prior art, in which the inner cladding is elongated in one transverse direction.

30

Fig. 3 illustrates a double-clad fibre according to prior art, in which the inner cladding is non-circular, having a leafed cross-section.

Fig. 4 shows an example of a double-clad fibre according to prior art, in which the first outer cladding is substantially an air-clad region.

- 5 Fig. 5 illustrates the silica bridging regions that exists around the interface of the inner and outer cladding. A minimum width of a bridging region is defined.
- 10 Fig. 6 illustrates the numerical aperture of air-clad fibres having a fixed air filling fraction of about 45%, but various widths of the bridging region.

Fig. 7 illustrates the numerical aperture of air-clad  
15 fibres having a fixed air filling fraction of about 58%, but various widths of the bridging region.

Fig. 8 compares the numerical aperture of air-clad fibres having different air filling fractions for similar  
20 bridging widths.

Fig. 9 shows a photonic crystal fibre cross section according to prior art in which the effective refractive index of the inner cladding is determined by a large  
25 number of periodically arranged, smaller air-holes and the effective refractive index of the outer cladding is determined by air-holes of a different cross section compared to those of the inner cladding.

30 Fig. 10 illustrates an example of a photonic crystal fibre according to the invention, in which a small number of non-periodically arranged air-holes are used as extra elements in the inner cladding to assist cladding pumping.

Fig. 11 illustrates an example of a cross-section of a photonic crystal fibre according to the invention, in which the air holes forming the second cladding are positioned non-circularly.

5

Fig. 12 illustrates an example of a cross-section of a photonic crystal fibre according to the invention, in which the air holes forming the second cladding are of various sizes.

10

Fig. 13 shows cross-section examples of photonic crystal fibres according to the invention, in which the air holes forming the inner cladding are positioned non-circularly.

15 Fig. 14 illustrates an example of a cross-section of a photonic crystal fibre preform according to the invention, in which the inner and outer cladding are formed using capillaries of different air-filling fractions and the inner cladding comprises solid rods.

20

Fig. 15 illustrates an example of a cross-section of a photonic crystal fibre preform according to the invention, in which differently shaped capillaries are used to form an asymmetrical structure optimised for high coupling efficiency to laser diodes strips.

25

Fig. 16 shows an example of a photonic crystal fibre transition element designed for high coupling efficiency between a non-circular symmetric mode and a near circular symmetric mode.

30

Fig. 17 shows another example of a photonic crystal fibre according to the present invention. The fibre is of a general type having a high effective index contrast between adjacent cladding layers.

35



Fig. 18 shows another example of a photonic crystal fibre according to the present invention. The fibre has a large homogeneous core region surrounded by an air-clad region.  
5 The fibre is highly multimode and has a high NA.

Fig. 19 shows two examples of photonic crystal fibres according to the present invention. The fibres have a high NA in one end of their length (for example an input  
10 end where light is coupled into the fibre) and a low NA in the other end. The high and low NA is obtained by controlling the air filling fraction in the air-clad region along the length of the fibres - or alternatively by collapsing the air holes at the end-facet in one end  
15 of the fibres. Fig. 19a shows a multimode fibre, and Fig. 19b shows a fibre with a doped core to provide single mode operation at a given wavelength in the doped core.

Fig. 20 shows another example of a photonic crystal fibre according to the present invention. The fibre has a large  
20 core region where light may be guided in a single mode. The fibre further has a microstructure in the inner cladding acting to support only single mode in the core and an air-clad region acting to provide a high NA for  
25 modes in the inner cladding.

Fig. 21 shows an example of a real photonic crystal fibre according to the present invention. The fibre has an air-clad region with narrow bridging regions that provide a  
30 high NA of the fibre.

Fig. 22 illustrates schematically the parameter,  $T$ , which is used to characterise the thickness of the air-clad layer.

Fig. 23 shows another example of a real photonic crystal fibre according to the present invention. The fibre has an air-clad region with narrow bridging regions that provide a high NA of the fibre. The fibre in Fig. 22a has  
5 a larger thickness of the air-clad region than the fibre shown in Fig. 21, but the NA of the two fibres is practically identical. Fig. 22b shows the complete cross-section of the fibre.

10 Fig. 24 shows schematically an example of a fibre according to the present invention for use in endoscopes. The fibre comprises a large number (typically more than 100) multi-core elements that are separated from each other using air-clad layers with thin bridging regions.

15 Fig. 25 shows a multi-core fibre according to the present invention. The fibre may be used as a high-brightness laser.

20 Fig. 26 shows another example of a multi-core fibre according to the present invention.

Fig. 27 shows schematically an optical fibre according to the present invention, wherein periodically distributed  
25 features in the inner cladding provides confinement of signal light using PBG effect.

Fig. 28 shows schematic the operation of a fibre amplifier or a fibre laser, where improved efficiency is  
30 obtained through the use of PBG effect.

Fig. 29 shows experimental and simulated results of NA for two fibres with different bridging widths as a function of wavelength.

Fig. 30 shows experimental and simulated results of NA as a function of wavelength divided by the bridging width.

Fig. 31 shows schematically the cross-section of an optical fibre according to the present invention having a lower refractive index in the bridging regions compared to the background material of the inner cladding region.

Fig. 32 shows schematically the refractive index profile along one direction in the cross-section of a fibre according to the present invention having a lower refractive index in the bridging regions compared to the background material of the inner cladding region.

Fig. 33 shows simulated results of NA as a function of wavelength divided by the bridging width for fibres with different refractive index contrasts between the bridging regions and the background material of the inner cladding region.

#### 4. DETAILED DESCRIPTION

This description of the present invention is based on examples. The invention is in no way limited to the presented examples that merely act to illustrate the concepts and design ideas that underlie the invention.

Fig.1 shows an example of a typical double clad fibre known in the prior art. This type of fibre is widely used for cladding pumped fibre amplifiers and lasers. The fibre is characterised by a core region 10 and two cladding regions; an inner cladding region 11 and an outer cladding region 12. Typically, the refractive index of the core region is higher than that of the inner

cladding region, whereby the core may acts as a core in a conventional single mode optical fibre, and the inner cladding region has a higher refractive index than that of the outer cladding region, whereby a number of cladding modes may be guided in the inner cladding. The principle of the fibre as a cladding pumped amplifier or laser device is merely that pumping of an active material in the fibre core is facilitated using the cladding modes as means for transferring pump light from a pump laser to the core. High power lasers are typically multi-mode and may more efficiently be coupled to cladding modes of the double clad fibre than directly to a mode in the core. By transfer of optical energy from the cladding modes to the core mode along the fibre length, an overall more efficient pumping may be achieved compared to direct coupling of pump laser light into the fibre core. In the section regarding background of the invention a number of references to this type of fibre device may be found (see also US 5,937,134).

To improve the transfer of energy from cladding modes to a core mode, a non-circular shape of the inner cladding region is often employed. Fig. 2 shows an example of a prior art double clad fibre having a nearly rectangular shaped inner cladding region 21 that surrounds the core region 20. The outer cladding region 22 is characterized by a lower refractive index than the inner cladding region, as for the fibre in Fig. 1. The advantages of using non-circular symmetric shape of the inner cladding have been described in the background of the invention. Additionally, the shape of the inner cladding region and the incorporation of stress-applying features in the inner cladding feature may be utilized to achieve birefringence in double clad fibres, such as for example for polarization maintaining applications (see US

5,949,941 for examples of such fibres). Fig. 3 shows another example of a prior art fibre having non-circular shape of the inner cladding 31.

5 When optimising cladding pumped fibres and fibre devices, a first important issue to address is the realization of a large index contrast between the inner cladding region and its outwards surroundings. This is important in order to have a high numerical aperture, NA, of the inner cladding such that efficient coupling from a pump laser to the cladding modes may be achieved. Typically, the numerical aperture of pump lasers, such as for example multi-mode solid state lasers, is significant larger than 0.2. Hence, it is desired to realise fibre designs having an inner cladding region with a NA larger than 0.2 at the pump wavelength. Secondly, it is important to match the field distribution, both in spatial size and shape, of the cladding modes to the pump fibre modes. Thirdly, it is important that the fibre has an efficient transfer of energy from cladding modes to the core.

An example of a prior art double clad fibre having a potentially large NA of the inner cladding 41 is illustrated in Fig. 4. This fibre is a so-called air-clad fibre that is characterized by the outer cladding being divided in two regions; a first outer cladding region comprising a number of low-index features (typically air holes) 42 and a second outer cladding region 43 surrounding the first outer cladding region and mainly acting as an overcladding layer that provides mechanical support and stability of the fibre. In US 5,907,652, DiGiovanni et al. describe this type of air-clad fibre. DiGiovanni et al. point out that it is an advantage to use air holes in order to achieve a low effective refractive index of the first outer cladding region.

DiGiovanni et al. state that the effective refractive index is determined by the air-filling fraction and in order to improve the fibre the air filling fraction should be as large as possible. In preferred embodiments, the fibre of DiGiovanni et al. therefore have more than 50% air filling fraction, and further preferred embodiments have more than 75% of air in the air clad layer (referred to as a "web"). Generally, it is well understood that the effective index of microstructures may be lower by increasing the air filling fraction (or fraction of low index features), it therefore appears a straight-forward improvement to increase the air filling fraction in the fibres presented by DiGiovanni et al. (equivalent to increasing the air filling fraction, DiGiovanni et al. state that the amount of high index material (typically silica is the background material of the "web") in the air clad region should be reduced - preferably below 50% or further below 25%).

By using a detailed theoretical analysis of the NA of the air-clad fibres, it turns out that the statement presented by DiGiovanni et al. regarding improvements of air-clad fibre is too simple and focuses only on the air filling fraction. In fact, it turns out that a large air-filling fraction in certain cases is no advantage to cladding pumped fibres. On the other hand, it surprisingly turns out that the width of high index material in the first outer cladding region is an important parameter for optimising air-clad fibre and which parameter can be tuned with respect to the optical wavelength of the light guided through the optical fibre. Looking at the cross-section of an air-clad fibre, the parameter in question is the thickness of the threads in the "web" which threads are comprised in the air-clad layer. More specifically, the parameter, labelled  $b$ , is

"the smallest width of high index material in the first outer cladding region" as illustrated in Fig. 5 for two different air-clad fibres. In Figs. 5a and 5b, both fibres have a core region 52, an inner cladding region 53, a first outer cladding region that comprises low-index features 50 and 51, respectively, and a second outer cladding region 54. The parameter  $b$  is indicated for both fibres. The parameter,  $b$ , may also be seen as the distance between two features meaning the minimum distance between edges of two neighbouring low-index features. In the case of periodically distributed low-index features in the first outer cladding, such as indicated in Fig. 5a, it should be clear that  $b$  is independent of which two low-index features are used to define  $b$ . On the other hand, in the case of non-periodic low-index features - or air-clad structure with some structural fluctuations that often occur due to fabrication -  $b$  will not be uniform throughout the first outer cladding - see Fig. 5b. In this latter case, the invention will relate to typical representative values of  $b$ , a plurality of the possible  $b$ 's, a majority of  $b$ 's or all  $b$ 's.

A theoretical tool for analysing air-clad fibres is a full-vector numerical computer program that has been extensively tested and is well described in literature (see Johnson et al., Optics Express 8, no. 3, 173-190 (2001)).

In order to understand the findings of the present inventors, Fig. 6 shows the NA relating to the cladding modes for an air-clad fibre with a design as schematically shown in Fig. 5a. The fibre has a moderate air-filling fraction in the first outer cladding region of about 45% (hence below that of the stated preferred

embodiments of the air-clad fibres by DiGiovanni et al. in US 5,907,652). Looking at Fig. 6, it is seen that by tuning the parameter  $b$ , to  $0.6\ \mu\text{m}$  or lower, it is possible to achieve NA of more than 0.2 over a wavelength range  $\lambda$  larger than from about  $0.8\ \mu\text{m}$  to  $2.0\ \mu\text{m}$ . Typically preferred pump wavelengths for Erbium doped fibre amplifiers and laser are about  $0.98\ \mu\text{m}$  and about  $1.48\ \mu\text{m}$ . For fibre amplifiers and lasers with other rare-earth dopants, such as for example Yb, preferred pumping wavelengths are about  $1.06\ \mu\text{m}$ . From Fig. 6, it is also found that larger dimensions of  $b$  than  $0.6\ \mu\text{m}$  are not an advantage when the air filling fraction is limited to about 45%. Hence, the air-filling fraction alone is not a sufficient parameter to adjust when optimising an air-clad fibre to have a large NA.

Looking at a similar air-clad fibre as in Fig. 6, but having a larger air-filling fraction of the first outer cladding, namely about 58%, it is again found that the parameter  $b$  plays an important role when optimising the NA - see Fig. 7. For the important wavelength range of about  $0.98\ \mu\text{m}$  to  $2.0\ \mu\text{m}$ , it is found that to have an NA of more than 0.2, the  $b$  parameter has to be smaller than  $0.8\ \mu\text{m}$ . As previously stated, a large air-filling fraction may not necessarily provide a high NA. This is seen from Fig. 7 where the NA is lower than 0.2 for  $b$  larger than 0.8 times the optical wavelength. On the other hand, the same air-filling fraction may provide a very high NA - of more than 0.5 - if  $b$  is smaller than 0.2 times the optical wavelength (we refer only to the free-space optical wavelength in the present invention). Also it is seen that NA of higher than 0.3 may be achieved for  $b$  smaller than 0.4 times the optical wavelength.



While Fig. 6 and Fig. 7 address only two different air filling fractions, it turns out that to have a NA of about 0.2 or larger, it is required that  $b$  is not larger than the optical wavelength of light guided through the fibre. Hence, for pumping about  $0.98\text{ }\mu\text{m}$ , it is required that  $b$  is smaller than  $1.0\text{ }\mu\text{m}$ .

With the teachings of DiGiovanni et al, it may appear surprising that the parameter  $b$  plays such an important role regarding the NA of air clad fibres. Following the teachings of DiGiovanni et al., it may be even more surprising to see that the same NA may actually be achieved for two fibres with different air filling fractions, but similar value of the  $b$  parameter. This is, however, what the present inventors have found - as may be seen from Fig. 8. The figure shows the NA of a fibre with an air filling fraction of about 58% and  $b$  of  $0.2\text{ }\mu\text{m}$  (top curve). Further, the figure compares the NA of two fibres with similar  $b$  value of  $0.3\text{ }\mu\text{m}$ , but different air filling fractions of about 45% and of about 58% (curves labelled  $d/\Lambda=0.7$  and  $d/\Lambda=0.8$ , respectively). From these two curves it is found that despite the different air-filling fraction, the NA of the two fibres is almost identical over the broad wavelength range from about  $0.8\text{ }\mu\text{m}$  to  $2.0\text{ }\mu\text{m}$ . This result further shows the importance of the  $b$  parameter regarding the NA of the air clad fibres and how focusing alone on the air filling fraction for the optimisation of the fibres is a too simple approach. To demonstrate that the NA is not only by chance coinciding for the fibres having  $b=0.3\text{ }\mu\text{m}$ , Fig. 8 furthermore shows that this is also the case for fibres with  $b=0.4\text{ }\mu\text{m}$ .

As seen from Fig. 6 to 8, the NA of the fibres is decreasing at shorter wavelengths. This decrease is

related to the larger bridging width relative to the optical wavelength. It turns out that it is a further advantage that the bridging material has a lower refractive index than the background material of the inner cladding region. For a given desired NA of a fibre, this allows an increase of bridging width, or alternatively for a given desired bridging width, it allows a higher NA to be achieved. These aspects allowing increased bridging width for a given NA, may prove advantageous for issues relating to mechanical robustness and handling of the fibres, such as for example cleaving and splicing - as shall be discussed at a later stage of the present application. Therefore, in preferred embodiments, the air-clad layer comprises low-index features placed in a background material having a refractive index being at least 0.5% lower than a refractive index of the background refractive index of the inner cladding region. Preferably, the index difference is larger, such as larger than 1%, or 2%, or larger. Such differences may well be achieved using silica-doping techniques by for example using F-doped silica glass for the background material of the air-clad layer and/or using Ge-doped glass for the background material of the inner cladding region. Using other types of glasses - such as non-silica glasses - even larger index differences may be achieved. Therefore, in further preferred embodiments, the afore-mentioned index difference is larger than 5%, or larger than 10%. For index difference of about 10% or less, typically the increase in bridging width that can be obtained is relatively small for fibres having NA of about 0.5 or larger. Hence, in preferred embodiments, the bridging widths are in the range of about 200nm to 400nm for fibres with NA of about 0.5 or larger.

Having focused on the air-clad region, it is naturally also important to notice that a microstructuring of the inner cladding region may influence the NA. In this respect, a large number of micro-structured, low-index features in the inner cladding region may degrade the NA of the cladding modes. Hence, fibre designs having a (large) number of large inner cladding features, as presented in the prior art, may not be advantageous (see previously mentioned Russell et al. reference under the background of the invention section). An example of such a fibre is shown in Fig. 9. An advantage of micro-structuring of the inner cladding region is, however, that the microstructuring may be used to tailor the mode field distribution in the inner cladding - or to scramble the cladding modes - to provide an improved overlap with a core mode. It turns out that to optimise air-clad fibre for cladding pumped applications, a relatively low number of inner cladding features should be employed - in order not to degrade severely the NA of the fibre. It may further be preferred to place these features in non-periodic manners. An example of a preferred embodiment of such a fibre according to the present invention is illustrated in Fig. 10. The fibre has a rare earth doped core 100 and an inner cladding region comprising a background material 102 and a low number - here 5 - low-index features 101 that are non-periodically positioned. The low-index features in the inner cladding region may be of different size. Surrounding the inner cladding region is an outer cladding region 103. This outer cladding region may be a single outer region or may comprise a first, a second or more outer cladding regions.

Another embodiment is shown in Fig. 11. The shape of the inner cladding region 111 may also be non-circular using

a non-circular arrangement of low-index features 112 in a first outer cladding region. The core 110 of this fibre is also shown. Another example of a preferred embodiment of the optical fibre according to the present invention is indicated in Fig. 12, here the core 120 is surrounded by an inner cladding region 121 that has a non-circular outer shape that has been achieved by using different-sized, low-index features 122, 123 in a first outer cladding region. Fig. 13 shows other examples of preferred embodiments of the optical fibres according to the present invention, where the core 130 is surrounded by an inner cladding region that comprises a background material 131 and low number of features 132 that are positioned close to the core 130 such that they may affect the waveguiding of the core mode. The fibre is further characterized by an outer air-clad region 133. Other variations of this type of fibre design is also shown in Fig. 13, including examples of preferred embodiments of the optical fibres according to the present invention where the core is made of the same material as the background material of the inner cladding, and the inner cladding features ensure the guidance of a single mode in the core. As a further note to Fig. 13, it should be mentioned that the presence of low-index features around the core may impair the coupling between inner cladding mode and the core, therefore it may be an advantage that the hole pattern is not circular symmetric in order to broaden a few "channels" from the outer part of the inner cladding to the core. This may further be used to create a strong birefringence in the fibres for polarization maintaining applications. It is further important to notice that the coupling between cladding modes and core mode(s) will be lower for long wavelengths compared to short wavelengths. Since a short pump wavelength compared to the signal

wavelength is often used for cladding pumped fibre amplifiers and laser, the presence of low-index features close to the core may be advantageous for controlling the waveguidance of the (long wavelength) signal light, while  
5 the presence may be of little or none effect for transfer of energy from the (short wavelength) cladding modes to the core region - in other words, the presence do allow an efficient coupling from cladding modes to core mode.

10 In order to produce optical fibres according to the present invention, a technique well known for fabrication of microstructured fibres may be employed, see for example US5907652, or any of the afore-mentioned references. This method has been adapted to produce  
15 embodiments of the optical fibers according to the present invention. The method is based on stacking of capillary tubes and rods to form a preform and drawing this into fibre using a conventional drawing tower. The present invention also covers designs of preforms, and an  
20 example of a preform according to the present invention is illustrated in Fig. 14. The preform comprises a rare earth doped centre element 144 that will act as the core in the final fibre. More rods, tubes or a combination of these may also form the core region. Surrounding the core  
25 is a number of solid rods (typically undoped silica) 142, and a few tubes 143 placed in a non-periodic manner. These rods and tubes form the inner cladding region of the final fibre. The preform further comprises a region of capillary tubes 141 having a larger air-filling  
30 fraction compared to the tubes in the inner cladding region. Thereby a smaller thickness,  $0.5b_{\text{preform}}$ , of the tube wall is obtained. As the preform is reduced in size during drawing of the fiber, the smaller thickness of the tube walls for the tubes in the air clad region provides  
35 the b-parameter of the final fibre. These tubes will form

the first outer cladding region - or the air clad region - of the final fibre. Finally, the fibre preform comprises a large overcladding tube 140 that will act as a second outer cladding region providing a desired outer diameter of the final fibre as well as mechanical robustness of the fibre.

The present invention also covers preforms with designs as shown schematically in Fig.15. This type of preforms - and the optical fibres that may be drawn from them - is of importance for coupling from laser diode strips to cladding pumped optical fibres. Regarding high power laser diodes, the preferred pump sources for high power optical fibre amplifiers and lasers typically emit light from a section of dimensions from about 1  $\mu\text{m}$  to several hundred  $\mu\text{m}$ . Optical fibres that match various geometries may easily be made by stacking capillaries 150 to form an air-clad region and rods 151, 152 to form an inner cladding region surrounding a core 153. Moreover, the high NA provided by the air-glass refractive index contrast makes it possible to guide all the pump light from the diode using direct butting, without having to resort to a lens to collimate the very diverging fast-axis. Preforms build from non-circular tube/rods are also possible, see examples of such elements 154, 155.

The present invention also covers optical fibres where the outer shape of the fibre changes along the optical fibre length. For example, as shown schematically in Fig. 16, the optical fibre 160 may be "circularised" in one end by heating up the fibre over part of its length. The fibre may in such a way be tailored for a specific mode profile in one end, such as a rectangular shape using rectangular placed features 161, and a circular shape using circular placed features 162 in the other end.

Hereby, the optical fibre may be adapted to a specific laser at the input end and the optical fibre may be adapted to exhibit a more symmetric output beam. The fibre may also be stretched in the same fabrication  
5 process to reduce the output spot-size.

As illustrated in Fig. 16, the fibre may also contain a core material that is identical to the inner cladding material - hence the core and inner cladding acting as  
10 one large multimode core.

Other embodiments according to the present invention maygenerally include microstructured optical fibres having large effective refractive index contrast between  
15 various regions in the cross-section, such as for example concentric, annular microstructured regions. Therefore, in another aspect, the present invention covers microstructured optical fibres having at least two microstructured cladding regions that are separated by at  
20 least one homogeneous region. An example of such a fibre is illustrated in Fig. 17, where the two microstructured regions are formed from low-index features 170 and 171. The microstructured regions each have b parameter smaller than 1.0  $\mu\text{m}$ , such as smaller than 0.5  $\mu\text{m}$  or smaller than  
25 0.3  $\mu\text{m}$  to provide a large effective index contrast between adjacent regions. The homogeneous region separating the two microstructured regions is indicated with numeral 172.

30 The present invention discloses how to realize high numerical aperture in optical fibres with an air-clad layer. High numerical aperture is not only of interest for cladding pumped optical fibre lasers and amplifiers, and the present invention also includes other optical  
35 fibre applications, where narrow bridges are used to

obtain high numerical aperture. As an example, the present invention also covers multimode optical fibres, where the core region does not contain rare-earth elements, and even multimode optical fibres where the core does not contain any doping elements at all. Compared to the previously discussed examples of preferred embodiments of the present invention, a multimode optical fibre according to the present invention may have a core that is identical to the inner cladding. Hence, the present invention covers optical fibres with an air-clad region having narrow bridging regions, where the air-clad region surrounds a large core of homogeneous material - for example pure silica. Naturally, the core may also comprise doped silica glass to realize a special refractive index profile, such as for example a parabolic refractive index profile in the core. An example of a multimode optical fibre with a high numerical aperture is schematically illustrated in Fig. 18. The optical fibre has a large core region 180 with a diameter of more than 10  $\mu\text{m}$ , such as larger than 25  $\mu\text{m}$ . Surrounding the core region 180 is an air-clad layer comprising air holes 181 and outside the air-clad layer is a homogeneous cladding layer 182 providing mechanical robustness to the fibres. It is required that the bridging width between the air holes 181 is narrow - smaller than 1.0  $\mu\text{m}$ , and preferably smaller than 0.5  $\mu\text{m}$  - in order for the optical fibre to have a high numerical aperture - larger than 0.5, and preferably larger than 0.7.

A multimode optical fibre as the one disclosed above, may for example be employed for high power delivery. As an example, a multimode fibre may be used as delivery medium for pump sources to various types of lasers and laser components. Typical for such a (passive) delivery optical fibre, it is advantageous to have a high NA at both



optical fibre ends, or only at a single of the optical fibre ends, such as a high NA at an input-end and a lower NA at an output-end, or vice versa. For example, as illustrated in Fig. 19a, at an input-end 190 the air-clad layer 191 may be designed with narrow bridging regions for providing an NA of more than 0.5, and at an output-end 192 a lower NA may be desired (for example below 0.3) - for mode matching to a standard fibre or a specific laser component - and the holes in the air-clad layer may be partly or fully collapsed. This may either be performed over the full fibre length, or parts thereof, or directly at the end-facet of the output end of the fibre. Such a difference in NA between two ends of an optical fibre, may also be relevant for active fibres comprising a doped core region 195, 197, see Fig. 19b. For example for a cladding pumped fibre laser, where the input-end 194 has a high NA for efficient coupling of pump light (commonly from a solid state laser source). At the output-end 196 of such a fibre laser, where the pump light may be absorbed to a large degree, the main power carried by the fibre will be at the signal wavelength in the core region. Therefore, at the output-end, the air clad layer plays little or no effect and the voids may be fully or partially collapsed.

Optical fibres according to the present invention will often comprise Bragg gratings along a part of their length, for example for realization of optical fibre lasers. These Bragg gratings may be introduced by UV-writing of refractive index changes in the longitudinal direction of the optical fibres.

An example of a preferred embodiment of an optical fibre according to the present invention that may be used for fibre laser applications is shown in Fig. 20. The figure

shows schematically the cross-section of a fibre that contains an active core region 200, typically realised by doping of one or more rare-earth elements, such as for example Yb or Er. Surrounding the core region is an inner  
5 cladding comprising a background material 201 and a number of high- and/or low-index features 202. Surrounding the inner cladding region is an air-clad layer 203 that finally is surrounded by an overcladding region 204. Such a fibre, may for example be designed in silica  
10 materials, with the inner cladding features 202 being voids. In a preferred embodiment, the optical fibre is used as a large-mode area, cladding pumped optical fibre laser for high-power applications. Using low-index features in the inner cladding with a diameter of about  
15 0.30 to 0.50 times an average, typical or representative centre-to-centre spacing,  $\Lambda$ , between the inner cladding features, single-mode operation at a signal wavelength,  $\lambda_s$ , may be obtained in the core region, whereas the core as well as the inner cladding region may be pumped with a  
20 pump light at a wavelength,  $\lambda_p$ , being smaller than  $\lambda_s$ . Typical values of  $\lambda_p$  are about 800nm, 980nm, 1050nm, and 1480nm and typical values of  $\lambda_s$  are about 980nm, 1050nm, 1300nm, 1550nm, such as from 1500nm to 1640nm. Even in the case of a significant number and size of the inner  
25 cladding features, a high NA may be obtained using an air-clad layer when b values are in the range from 100nm to 1000nm (preferably smaller than 400nm) - as previously described. Typically, the core region 200 will comprise one or more index-raising dopants (that are introduced to  
30 improve incorporation of rare-earths into silica). It may therefore be preferred to fabricate the optical fibre using a background material 201 having a refractive index above that of pure silica, such as larger than 1.444 at a wavelength of 1.55  $\mu\text{m}$ . This may for example be obtained  
35 by having a background material 201 comprising Ge and/or

Al. The core region may also comprise one or more co-dopants (apart from one or more (active) rare-earth dopants and any optional index-raising co-dopants) that decrease the refractive index, such as for example F and/or B. Regarding dimensions of a fibre, such as the one, shown in Fig. 20, typically the inner cladding region will have an outer diameter of about 60 $\mu$ m-100 $\mu$ m, for fibres with an outer diameter of about 125  $\mu$ m of the outer cladding region 204. For fibres having a larger outer diameter, the inner cladding may have an outer diameter up to 200  $\mu$ m. Typically, the inner cladding features will be characterized by a typical centre-to-centre spacing,  $\Lambda$ , of more than 5  $\mu$ m, and typically about 10  $\mu$ m, such as in the range from 8.0  $\mu$ m to 12.0  $\mu$ m. In order to avoid bending losses, but to maintain the fibre single mode in the core region at the signal wavelength, the inner cladding features will typically have a diameter in the range from 0.3  $\Lambda$  to 0.6  $\Lambda$ . The fibre may be employed as part of an article being a fibre laser, where the article comprises one or more pump sources and external reflectors or the reflectors are formed directly in the fibre using one or more UV-induced Bragg gratings.

Optical fibres according to the present invention that are used for laser or amplifier applications may be pumped in various ways known from standard fibre technology, such as end- and side-pumping.

Fig. 21 shows an optical microscope photograph of a preferred embodiment of an optical fibre according to the present invention that has been fabricated. The fibre has NA of about 0.6 at wavelength about 1.0  $\mu$ m. This high NA is obtained by the use of very narrow bridging regions in the air-clad region. The specific fibre has a b-value of about 400nm. A number of fibres with different b-values

have also been fabricated and experimental characterizations have confirmed that optical fibres with b-values in the range from about 100nm to 500nm provide NA of more than 0.5, typically more than 0.6.

5

From experimental work, it turns out that it may be of practical relevance that the b-value of a real optical fibre is larger than a certain size. This is for example the case in relation to cleaving and/or for splicing of the fibres. Typically, it is preferred that the b-value is not smaller than 100nm, such as a b-value of not smaller than 200nm may be preferred.

The present inventors have further realized another design parameter that is of practical importance for fibres having an air-clad layer. Through experimental work, the present inventors have realized that the thickness, T, of the air-clad layer 223 plays an important role mechanically for cleaving of the fibres. The T-parameter is indicated in Fig. 22, for a fibre with a doped core region 220, an inner cladding region 221, an air-clad region 223 and an outer cladding region 222. As cleaving is usually performed by introducing some kind of scratch to the outer surface of the fibre, and having this scratch developing into a crack going through the fibre, it may be a disadvantage if the air-clad layer has a too large thickness, T, such that the inner cladding region becomes mechanically isolated from the outer cladding. On the other hand, the thickness, T, has to be of a certain size in order to optically isolate the inner 221 and outer cladding 222. The present inventors have realized that an optimum thickness of the air-clad layer 223 is in the range from about 3.0  $\mu\text{m}$  to about 10  $\mu\text{m}$ . Since fibres according to the present invention may also be used for applications where the air-clad layer after

fibre fabrication is filled by e.g. polymers and/or other materials, other thickness values may be of interest in order to obtain a given volume. Hence, air-clad layers with thickness larger than 10  $\mu\text{m}$  may also be of  
5 relevance.

Fig. 23 shows another example of a real fibre according to the present invention. Fig. 23a shows the core, the inner cladding region, the air-clad layer and part of the  
10 outer cladding (the core comprises Yb-doped silica that does not show different than the pure silica of the inner cladding in the microscope picture). The fibre has a b-value of about 300nm and a NA of about 0.7 at wavelengths about 1.0  $\mu\text{m}$ . The optical fibre has a larger T-value than  
15 the fibre in Fig. 21, and the fibre showed more difficult to cleave in agreement with the above-described relation between T-value and cleave-ability.

FIG. 24 shows schematically another example of a fibre  
20 according to the present invention, which fibre may be used in endoscopes. The fibre comprises an overcladding 240 and large number (typically more than 100) of core elements 241 that are typically multi-mode and passive. The core elements are separated from each other using  
25 air-clad layers (or other types of low index layers) that provide a high NA for the individual cores. In this manner, the fibre according to the present invention is capable of collecting a large amount of light due to the high NA of the individual cores - and these individual  
30 cores may be used as pixels for image transfer through the fibre endoscope. To obtain the high NA, the air-clad layer is characterized with narrow bridging widths - as described throughout this patent application. Typically, the diameter of the individual cores is about 10  $\mu\text{m}$  or  
35 larger.

Fig. 25 shows another example of a fibre according to the present invention. The fibre resembles the fibre schematically shown in Fig. 20 - having a high NA air-clad layer 253 as disclosed in the present application. The fibre, however, comprises a multitude of cores 250, 251 (such as for example 7, 19, or 37) that are positioned such that a distance from a centre of a core to a centre of its nearest neighbouring core is similar for all cores in the fibre. This type of fibre may be used as a high-brightness laser in a similar manner as described by Cheo et al. in IEEE Photonics Technology Letters, Vol. 13, no. 5, pp. 439-441, May 2001. The fibre optionally comprises a number of features 252 in the inner cladding region.

Fig. 26 shows yet another example of a fibre according to the present invention. The fibre comprises a high NA air-clad layer 263 according to a main aspect of the present invention and a multitude of cores 262 in an annular arrangement inside the air-clad layer. Other arrangements, such as for example a polygonal-shaped arrangement may also be preferred. The fibre further comprises a conventional overcladding region 264. The fibre may be used as a cladding-pumped device, where the pump light is propagating inside the core arrangement. This type of core arrangement may be preferred for example for improved coupling efficiency as described by Glas et al. Opt. Comm. 151, pp. 187-195, 1998.

The present inventors have further realized that an improved type of cladding pumped erbium-doped fibre amplifiers (EDFAs) may be obtained through the use of the PBG effect for confining the core mode inside the fibre. Generally, when optical fields are confined through the

use of the PBG effect, at least 4-5 periods of the periodically distributed cladding holes need to be used. As optical cladding pumped fibres may have relative large inner cladding regions a sufficient number of periods may well be included for confinement of the core mode. The advantages of using PBG confinement are secured. A first aspect is that the PBG structuring at the wavelength of the amplified mode may work as a mode-scrambling structure of air-holes at the wavelength of the pump mode field, but without confining the pump distribution to the limited center part of the PCF. Hence, in preferred embodiments, the present invention comprises air-clad fibres having periodically distributed features in the inner cladding region that provide waveguidance by PBG effect of light at signal wavelength.

Fig. 27 shows an example of an optical fibre according to the present invention that comprises an air-clad layer 270 for providing a high NA, and a number of periodically distributed features 271 in the inner cladding. The fibre further comprises a low-index feature 272 in the core. The feature 272 may for example be a void or down-doped silica glass. The features may optionally comprise active material, such as a rare-earth-doped (RED) material, that provides amplification for optical amplification or lasing. The fibre may also optionally comprise an active material in a region surrounding the feature 272.

Furthermore, we may obtain a better power conversion from pump to signal because different overlap between RED material signal mode, and cladding pump distribution may be obtained. In a further aspect, the PBG guidance may be used to obtain high-power output from lasers and amplifiers operating in a higher-order mode (a non-gaussian mode distribution). This is possible because the

PBG structure may be designed such that the core mode will only guide in a higher-order mode and all other modes will be leaky because they are placed outside the bandgap. The PBG guidance for the amplified mode may also  
5 find relevant use in applications where amplifiers with special dispersion properties are needed (for pulse spreading or pulse compression).

In yet a further aspect, the PBG guidance may be used to  
10 enhance specific parts of the amplifier spectrum. Here, we may place the bandgap edge at a frequency within the emission spectrum of the rare-earth ion. For the part of the RED-emission spectrum which is inside the bandgap, the core mode is well confined, whereas the spectral  
15 components which are outside the bandgap are less well confined, and consequently, the two ranges undergo different amplification. This property may be used to spectrally shape new high-power amplifiers and to fabricate lasers with special emission wavelengths,  
20 through the strong mode selection/discrimination made possible by the PBG effect. A schematic illustration of this aspect is presented in Fig. 28.

Fibres according to the present invention may be  
25 fabricated using techniques that are well known in the area of micro-structured fibres. For example, the air-clad layer and optional features in the inner cladding region may be realised using a stack- and draw method that employs capillary tubes and rods. This method has  
30 been well described in literature, see e.g. US 5,907,652 and US 5,802,236. Fibres according to the present invention that are non-uniform in the longitudinal direction, may be realized using various types of post-processing steps after fibre drawing, such as heat-  
35 treatment, stretching, pressurizing or vacuum-treatment



of the voids in the fibres, introduction of materials into the voids or combinations of these steps.

Specifically for fabricating fibres such as the fibre in Fig. 24, it may be advantageous to draw the fibre in multiple steps, where a single core and its surrounding air-clad layer is fabricated by in a first step assembling a preform comprising a single solid rod surrounded by a layer of tubes of smaller diameter than the rod. This preform having a diameter of typically 10mm to 50mm may be drawn into a number of first canes - typically of diameter 1mm to 5mm. A second preform may then be fabricated by stacking a number of first canes together and this preform may optionally be overcladded and drawn directly into fibre, or the second preform may be drawn to a number of second canes that again may be stacked together and overcladded to form a third preform that may be drawn into fibre.

Fig. 29a shows experimental results of measured NA for two different air-clad fibres. Each of the fibres has an air-clad region with a design as shown schematically in Fig. 5b, but the fibres have different b-values of 420nm and 950nm. In the figure, measured NA values are indicated by points, whereas the lines indicated simulated NA of the fibres. A very good agreement for the fibres is observed. The figure shows that the NA of the fibre with bridging regions of minimum width, b, of about 420nm is significantly higher than for the fibre with b of about 950nm. A microscope picture of a part of the fibre with b=420nm is shown in Fig. 29b. The air-clad layer comprises a single ring of air holes and preferably the width of the air holes in radial direction from the centre of the fibre is in the range of 5  $\mu$ m to 15  $\mu$ m. It is here desired to keep the radial width - and thereby

the bridging regions - long enough to ensure the optical properties in terms of high NA, while the radial width is short enough to ensure good mechanical properties in terms of cleaving of the fibre and/or handling strength.

5 Further issues to consider for the width, may be heat transfer from the inner parts of the fibre to the outside. For high power applications, it may be desirable to have a limited radial width of the air-clad region in order to avoid thermal isolation. Accordingly, it may be

10 preferred to have a high number of bridging regions - equivalently a high number of low-index features in the outer cladding - to provide sufficient heat transfer. In preferred embodiments, a smallest cross-sectional distance from two neighbouring bridging regions is,

15 therefore, kept above a first size to ensure optical isolation (typically a distance of about three to five times an operating wavelength is sufficient to ensure isolation of the individual bridges), while at the same time being lower than a second size to ensure a

20 sufficiently large number of bridges (for example a second size of about ten times an operating wavelength). Other first and second sizes may, however, be preferred. Typically, the range of the second size may vary significantly, such that a second size of several tens

25 times an operational wavelength may be preferred.

Fig. 29a further shows how the NA is varying with wavelength. The present inventors have realized that the NA and its variation are primarily determined by the

30 wavelength relative to the b-parameter. To demonstrate this in more detail, Fig. 30 shows the NA as a function of wavelength divided by b for both experimentally obtained results and simulations. As for Fig. 29, the results in Fig. 30 are for a fibre solely comprising pure

35 silica and air holes in the air-clad region. The figure

shows a very clear relation between NA and wavelength divided by  $b$ ,  $\lambda/b$  - and the experimentally observed results are confirmed by numerical simulations. Hence, Fig. 30 may be used to design fibres with a certain NA at a given wavelength. From Fig. 30 it is found that in order to obtain an NA of about 0.3 or higher,  $b$  should be smaller than  $\lambda$ . For an NA of about 0.4 or higher,  $b$  should be smaller than approximately  $0.8\lambda$ , and for NA higher than 0.5,  $b$  should be smaller than approximately  $0.6\lambda$ . and for NA higher than 0.6,  $b$  should be smaller than approximately  $0.45\lambda$ . The figure also illustrates that extremely higher NA of more than 0.7 is feasible using  $b$  of smaller than approximately  $0.3\lambda$ . Hence, for an applications where a fibre according to the present invention is used as a cladding pumped device (for example a laser or an amplifier) with a pumping wavelength of about 980nm and a NA of about 0.5 is desired,  $b$  should be designed to be about or smaller than 560nm.

For various applications, it may be desired to obtain the largest possible bridging regions for a given NA. This may for example be for improved mechanical properties such as for fibre strength or cleaving, or it may be for improved heat transfer as discussed previously. In order to increase the bridging width for a given NA, the present inventors have realised that it is an advantage to have an index difference,  $\Delta_{\text{clad}}$ , between the material of the bridging regions and the background material of the inner cladding. Fig. 31 shows schematically an example of an improved fibre according to the present invention, where the outer cladding region comprises a background material 310 having a lower refractive index than the refractive index of the background material 311 in the inner cladding region. This index difference may for example be realised using silica-doping techniques,

where the inner cladding region comprises Ge-doped silica glass and the outer cladding region comprises un-doped silica glass. Naturally, other manners of realising this index difference may be thought of, for example using F-doped glass in the outer cladding as Fluor decreases the refractive index compared to pure silica. The index difference,  $\Delta_{\text{clad}}$ , is also schematically illustrated in Fig. 32 that shows a schematic example of the refractive index profile in one direction through the cross-section of a fibre according the present invention. While Fig. 30 showed the NA as a function of  $\lambda/b$  for no index difference between the refractive index of the background material in the inner and outer cladding,  $\Delta_{\text{clad}}=0\%$ , Fig. 33 shows how the NA may be increased by increasing  $\Delta_{\text{clad}}$ . The figure shows simulations of the NA for silica-based fibres where  $\Delta_{\text{clad}}$  is varied from 0% to 4%. As seen from the figure, a significant increase in NA may be realised using non-zero  $\Delta_{\text{clad}}$ . As an example, the previously discussed fibre device operating at a pump wavelength of 980nm and an NA of 0.5, a b-value of about 650nm may be realised as compared to b=560nm for the fibre with  $\Delta_{\text{clad}}=0\%$  (NA of 0.5 occurs for  $\lambda/b$  of about 1.50 and 1.75 for  $\Delta_{\text{clad}}=1\%$  and  $0\%$ , respectively). As another example, fibres with an NA of about 0.5 may be realized for b of about  $\lambda$  for  $\Delta_{\text{clad}}$  about 3%. Further, fibres with NA of higher than 0.8 may be realised for  $\lambda/b$  of about 3.0 or larger. The ideas of increasing the NA using non-zero  $\Delta_{\text{clad}}$ , may be utilized for all types of high NA fibres comprising low-index features 314, 324 in the outer cladding region and is not limited to the two example shown in Fig. 31 and 32 having an active core 313, 320 and inner cladding features 312, 322 in the inner cladding region 321. Optionally, the overcladding region 315, 325 may comprise a background material being different than the background material 310. While it is

the refractive index difference between the material in the bridging regions and the background material in the inner cladding that provides the improved NA properties, the radial width of the outer cladding comprising a low-index background material 317 may not be critical. It may be preferred that the radial width 317 is larger than the radial width of a low-index feature in the outer cladding 316. Such a relation could occur for a fibre being fabricated using the stack and pull process (as described for example in US 5907652) where the outer cladding region is realised using silica capillary tubes having a lower refractive index than the rod for realising the inner cladding region (and the core). The inner cladding region and the core may naturally also be fabricated by various combinations of tubes and/or rods.

A further advantage of using a non-zero  $\Delta_{\text{clad}}$  relates to cladding pumped fibres having a large active core. Fig. 32 shows the index difference,  $\Delta_{\text{core}}$ , between an active core 320 and the background material of the inner cladding 321. In a preferred embodiment, the fibre uses inner cladding features 322 to confine light in the core - either using modified total internal reflection (M-TIR) as for example described in WO 9900685 or using PBG effects as previously described. In the case of M-TIR, it is preferred that the index difference,  $\Delta_{\text{core}}$ , is as low as possible to avoid multimode operation at the signal wavelength for large core sizes. In preferred embodiments,  $\Delta_{\text{core}}$  is about zero and in other preferred embodiments,  $\Delta_{\text{core}}$  may be negative. A low (or negative)  $\Delta_{\text{core}}$  allows use of very large active cores of more than 15  $\mu\text{m}$  in diameter while single mode operation for at least the signal wavelength is obtained. As dopants for realizing active cores (such as for example Er, Yb, or other rare earth elements or combinations of these) and

optional co-dopants (such as for example Al, Ge, and/or La) may increase the refractive index compared to pure silica, it is preferred to use a background material in the inner cladding region with a raised refractive index - for example using Ge-doped silica. While this may provide  $\Delta_{\text{core}}$  of about zero, a  $\Delta_{\text{clad}}$  value above zero may be obtained at the same time. Hence, in a preferred embodiment, the present invention provides an optical fibre laser or amplifier for visible or near-infrared wavelengths comprising an active core comprising Er and/or Yb having a diameter of more than 15  $\mu\text{m}$ , and inner cladding region comprising a Ge-doped silica background material and number of voids having  $d/\Lambda$  of about 0.35 or larger (providing M-TIR in the core for the signal), and an air-clad region comprising a background material (for example pure silica) with a refractive index being lower than that of the inner cladding background material and large voids providing a  $b$ -value of less than 1.0  $\mu\text{m}$ , preferably less 2/3 times a free-space pump wavelength (thereby realising an NA of about 0.5 or higher).

OPTICAL FIBRE WITH HIGH NUMERICAL APERTURE, METHOD OF ITS  
PRODUCTION, AND USE THEREOF

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CLAIMS

- 5
1. An article comprising an optical fibre, the fibre comprising at least one core surrounded by a first outer cladding region, the first outer cladding region being surrounded by a second outer cladding region, the first
- 10 outer cladding region in the cross-section comprising a number of first outer cladding features having a lower refractive index than any material surrounding the first outer cladding features, wherein for a plurality of said first outer cladding features, the minimum distance
- 15 between two nearest neighbouring first outer cladding features is smaller than  $1.0\text{ }\mu\text{m}$  or smaller than an optical wavelength of light guided through the fibre when in use.
- 20 2. An article according to claim 1, wherein the core is surrounded by an outer cladding, the outer cladding comprising the first and the second outer cladding regions with the first outer cladding region arranged between the core and the second outer cladding region.
- 25 3. An article according to claim 1 or 2, wherein the first outer cladding region in the cross section has an inner diameter or inner cross-sectional dimension being larger than or equal to  $15\text{ }\mu\text{m}$ .
- 30 4. An article according to claim 3, wherein the inner diameter or inner cross-sectional dimension of the first outer cladding region is larger than or equal to  $20\text{ }\mu\text{m}$ .

5. An article according to claim 1 or 2, wherein the inner diameter or inner cross-sectional dimension of the first outer cladding region is in the range from 80  $\mu\text{m}$  to 125  $\mu\text{m}$  or in the range from 125  $\mu\text{m}$  to 350  $\mu\text{m}$ .

5

6. An article according to claim 1, wherein the fibre comprises a number of cores with a plurality of said number of cores each being surrounded by a corresponding first outer cladding region, the cores and the first  
10 outer cladding regions being surrounded by the second outer cladding region, each of the first outer cladding regions in the cross-section comprising a number of first outer cladding features having a lower refractive index than any material surrounding the first outer cladding  
15 features, wherein for a plurality of the first outer cladding features of each of said first outer cladding regions, the minimum distance between two nearest neighbouring first outer cladding features is smaller than 1.0  $\mu\text{mm}$  or smaller than an optical wavelength of  
20 light guided through the fibre when in use.

7. An article comprising an optical fibre, the fibre comprising a number of cores with a plurality of said number of cores each being surrounded by a corresponding  
25 first outer cladding region, the cores and the first outer cladding regions being surrounded by a second outer cladding region, each of the first outer cladding regions in the cross-section comprising a number of first outer cladding features having a lower refractive index than  
30 any material surrounding the first outer cladding features, wherein for a plurality of the first outer cladding features of each of said first outer cladding regions, the minimum distance between two nearest neighbouring first outer cladding features is smaller



than 1.0  $\mu\text{m}$  or smaller than an optical wavelength of light guided through the fibre when in use.

8. An article according to claim 6 or 7, wherein each of  
5 said number of cores are surrounded by a first outer cladding region.

9. An article according to any of the claims 6-8, wherein  
at least part of the plurality of cores each being  
10 surrounded by a corresponding first outer cladding region are arranged so that the first outer cladding regions of two neighbouring cores share a number of said first outer cladding features.

15 10. An article according to claim 9, wherein all of the plurality of cores each being surrounded by a first outer cladding region are arranged so that the first outer cladding regions of two neighbouring cores share a number of said first outer cladding features.

20 11. An article according to any of the claims 6-10, wherein a number or all of the first outer cladding regions in the cross section have an inner diameter or inner cross-sectional dimension being in the range of 5-  
25 100  $\mu\text{m}$ .

12. An article according to any of the claims 6-11, wherein a number or all of the first outer cladding regions in the cross section have an inner diameter or  
30 inner cross-sectional dimension being in the range of 30-60  $\mu\text{m}$ .

13. An article according to any of the claims 6-12, wherein said plurality of cores each being surrounded by

a first outer cladding region comprises at least 20 cores.

14. An article according to claims 13, wherein said  
5 plurality of cores each being surrounded by a first outer cladding region comprises at least 100 cores.

15. An article according to claims 14, wherein said plurality of cores each being surrounded by a first outer  
10 cladding region comprises at least 1000 cores.

16. An article according to claims 15, wherein said plurality of cores each being surrounded by a first outer cladding region comprises at least 3000 cores.

17. An article according to any of the claims 1-16, wherein the second outer cladding region is part of an outer cladding, which further comprises third and fourth outer cladding regions with the third outer cladding  
20 region arranged between the second and the fourth outer cladding region, the third outer cladding region in the cross-section comprising a number of third outer cladding features having a lower refractive index than any material surrounding the third outer cladding features,  
25 wherein for a plurality of said third outer cladding features, the minimum distance between two nearest neighbouring third outer cladding features is smaller than 1.0  $\mu\text{m}$  or smaller than an optical wavelength of light guided through the fibre when in use.

18. An article according to any of the preceding claims, wherein for a plurality of said first and/or third outer cladding features the minimum distance between two nearest neighbouring outer cladding features is smaller  
35 than 0.5  $\mu\text{m}$ .

19. An article according to any of the preceding claims,  
wherein for a plurality of said first and/or third outer  
cladding features the minimum distance between two  
5 nearest neighbouring outer cladding features is smaller  
than 0.4  $\mu\text{m}$ .

20. An article according to any of the preceding claims,  
wherein for a plurality of said first and/or third outer  
10 cladding features the minimum distance between two  
nearest neighbouring outer cladding features is smaller  
than 0.3  $\mu\text{m}$ .

21. An article according to any of the preceding claims,  
15 wherein for a plurality of said first and/or third outer  
cladding features the minimum distance between two  
nearest neighbouring outer cladding features is smaller  
than 0.2  $\mu\text{m}$ .

20  
22. An article according to any of the claims 1-21,  
wherein for a plurality of said first outer cladding  
features, the minimum distance between two nearest  
neighbouring first outer cladding features is smaller  
25 than a shortest optical wavelength of light guided  
through the fibre when in use.

23. An article according to any of the claims 17-22,  
wherein for a plurality of said third outer cladding  
30 features, the minimum distance between two nearest  
neighbouring third outer cladding features is smaller  
than a shortest optical wavelength of light guided  
through the fibre when in use.

24. An article according to any of the claims 1-10 or 13-23, wherein the core(s) has/have a cross-sectional dimension larger than 25 $\mu$ m, such as larger than 50 $\mu$ m, such as larger than 75 $\mu$ m, such as larger than 100 $\mu$ m, thereby causing the fibre to guide light in multiple modes within the core.

25. An article according to any of the claims 1-24, wherein one or more of the cores is/are surrounded by an inner cladding region having an effective refractive index with a lower value than the effective refractive index of the core being surrounded, said inner cladding regions being part of an inner cladding.

26. An article according to claim 25, wherein each inner cladding region is surrounded by a corresponding first outer cladding region.

27. An article according to any of the preceding claims, wherein for one or more first outer cladding regions the first outer cladding features occupy 45% or more of the cross-sectional area of the first outer cladding region.

28. An article according to claim 27, wherein for all first outer cladding regions the first outer cladding features occupy 45% or more of the cross-sectional area of the first outer cladding region.

29. An article according to claim 27 or 28, wherein the first outer cladding features occupy at least 50%, such as at least 60%, or such as at least 70% of the cross-sectional area of the first outer cladding.

30. An article according to any of the claims 17-29, wherein the third outer cladding features occupy 45% or more of the third outer cladding.
- 5 31. An article according to claim 30, wherein the third outer cladding features occupy at least 50%, such as at least 60%, or such as at least 70% of the cross-sectional area of the third outer cladding.
- 10 32. An article according to any of the claims 25-31, wherein the inner cladding or one or more of the inner cladding regions in the cross-section comprise one single inner cladding feature.
- 15 33. An article according to any of the claims 25-31, wherein the inner cladding or one or more of the inner cladding regions in the cross-section comprise at least two inner cladding features.
- 20 34. An article according to claim 33, wherein the inner cladding or each inner cladding region in the cross-section comprises less than 10 inner cladding features.
- 25 35. An article according to claim 33 or 34, wherein the inner cladding features are placed in a non-periodic manner.
- 30 36. An article according to any of the claims 1-5 or 17 - 25 or 27-31, wherein the optical fibre comprises at least two cores being surrounded by a common first outer cladding region.
37. An article according to claim 36, wherein the optical fibre comprises at least seven cores, at least 19 cores,

or at least 37 cores being surrounded by a common first outer cladding region.

38. An article according to claim 36 or 37, wherein the  
5 optical fibre comprises a plurality of cores in a substantially annular arrangement, said plurality of cores being surrounded by the common first outer cladding region.

10 39. An article according to claim 38, wherein said plurality of substantially annular arranged cores are arranged in an inner cladding so that a major part of the inner cladding are surrounded by the substantially  
15 annular arranged cores, said inner cladding having an effective refractive index with a lower value than the effective refractive index of each of said substantially annular arranged cores.

20 40. An article according to any of the claims 36-39, wherein the distance between one set of two nearest neighbouring cores is substantially identical to the distance between other sets of two nearest neighbouring cores.

25 41. An article according to any of claims 36-40, wherein each of said cores being surrounded by a common first outer cladding region is surrounded by an inner cladding region having an effective refractive index with a lower value than the effective refractive index of the core  
30 being surrounded, said inner cladding regions being part of an inner cladding being surrounded by the common first outer cladding region.

42. An article according to any of the claims 36-41, wherein the inner cladding in the cross-section comprises a number of inner cladding features.

5 43. An article according to claim 42, wherein the inner cladding comprises at least two inner cladding features.

44. An article according to claim 43, wherein the inner cladding comprises at least 10 inner cladding features.

10

45. An article according to any of claims 42-44, wherein at least part of the inner cladding features are arranged so that a for an inner cladding region surrounding one of said cores, said inner cladding region comprises several  
15 inner cladding features.

46. An article according to claim 45, wherein the inner cladding features of an inner cladding region are periodically arranged.

20

47. An article according to any of the claims 1-5 or 17-24 or 27-31, wherein the optical fibre comprises a core being surrounded by an inner cladding having a number of inner cladding features being periodically distributed  
25 within said inner cladding, said inner cladding being surrounded by the first outer cladding region.

48. An article according to claim 47, wherein the core comprises a core feature having a lower refractive index  
30 than the refractive index of the core material surrounding the core feature.

49. An article according to claim 47 or 48, wherein the periodically arrangement of the inner cladding features

comprises at least 4 or 5 periods in a radial direction from the centre of the inner cladding.

50. An article according to any of the preceding claims,  
5 wherein the first outer cladding features are placed in a non-circular symmetric manner.

51. An article according to any of the preceding claims,  
10 wherein the first outer cladding region in the cross section has a substantially hexagonal like shape.

52. An article according to any of the preceding claims,  
15 wherein first outer cladding features of different size are present.

53. An article according to any of the preceding claims,  
wherein the first and/or third outer cladding features and/or the inner cladding features are voids.

20 54. An article according to any of the preceding claims, wherein the first and/or third outer cladding features and/or the inner cladding features are filled with vacuum, air, a gas, a liquid or a polymer or a combination thereof.

25 55. An article according to any of the claims 25-54, wherein the core(s) surrounded by an inner cladding region has/have a cross-sectional dimension smaller than 10 $\mu$ m.

30 56. An article according to any of the preceding claims, wherein the core(s) comprises/comprise at least one member of the group consisting of Ge, Al, P, Sn and B.



57. An article according to any of the preceding claims, wherein the core(s) comprises/comprise at least one rare earth, such as Er, Yb, Nd, La, Ho, Dy and/or Tm.
- 5 58. An article according to claim 57, wherein the fibre has a long period grating or a fibre Bragg grating along at least a part of the fibre length.
59. An article according to any of the preceding claims,  
10 wherein the fibre comprises a background material being silica, chalcogenide, or another type of glass.
60. An article according to any of the claims 1-58,  
15 wherein the fibre comprises a background material being polymer.
61. An article according to any of the claims 25-60,  
wherein the article is a cladding pumped fibre laser or amplifier.
- 20 62. An article according to any of the claims 25-61, wherein the article is a cladding pumped fibre laser or amplifier comprising a pump radiation source and a length of said optical fibre.
- 25 63. An article according to claim 22 or 23 and claim 62, wherein said shortest optical wavelength is determined by the wavelength of said pump radiation source.
- 30 64. An article according to any of the preceding claims, wherein said optical fibre in the cross-section has a non-uniform shape of the inner cladding region along the fibre length.

65. An article according to any of the preceding claims, wherein the first and/or third outer cladding features are periodically distributed.

5 66. An article according to any of the claims 25-65, wherein the inner cladding region acts as a reservoir for light generated in the core.

67. An article according to any of the claims 25-66,  
10 wherein the optical fibre comprises at least two cores.

68. An article according to any of the claims 67, wherein said at least two cores are adapted for high power broadband amplification.

15

69. An article according to any of the claims 32-68, wherein the fibre core material is the same as the background material of the inner cladding region.

20 70. An article according to any of the claims 25-69, wherein said optical fibre is optically pumped bi-directionally.

71. An article according to any of the preceding claims,  
25 wherein for a majority or all of said first outer cladding features, the minimum distance between two nearest neighbouring outer cladding features is smaller than  $1.0\mu\text{m}$ .

30 72. An article according to claim 71, wherein for a majority or all of said first outer cladding features, the minimum distance between two nearest neighbouring outer cladding features is smaller than  $0.5\mu\text{m}$ , such as smaller than  $0.4\mu\text{m}$ , such as smaller than  $0.3\mu\text{m}$ , or such  
35 as smaller than  $0.2\mu\text{m}$ .

73. An article according to any of the preceding claims,  
wherein for a majority or all of said first outer  
cladding features, the minimum distance between two  
5 nearest neighbouring outer cladding features is smaller  
than an optical wavelength of light guided through the  
fibre when in use.

74. An article according to any of the preceding claims,  
10 wherein for a majority or all of said first outer  
cladding features, the minimum distance between two  
nearest neighbouring outer cladding features is smaller  
than a shortest optical wavelength of light guided  
through the fibre when in use.

15 75. An article according to any of the claims 17-74,  
wherein for a majority or all of said third outer  
cladding features, the minimum distance between two  
nearest neighbouring outer cladding features is smaller  
20 than 1.0 $\mu$ m.

76. An article according to claim 75, wherein for a  
majority or all of said third outer cladding features,  
the minimum distance between two nearest neighbouring  
25 outer cladding features is smaller than 0.5 $\mu$ m, such as  
smaller than 0.4 $\mu$ m, such as smaller than 0.3 $\mu$ m, or such  
as smaller than 0.2 $\mu$ m.

77. An article according to any of the claims 17-76,  
30 wherein for a majority or all of said third outer  
cladding features, the minimum distance between two  
nearest neighbouring outer cladding features is smaller  
than an optical wavelength of light guided through the  
fibre when in use.

78. An article according to any of the claims 17-77,  
wherein for a majority or all of said third outer  
cladding features, the minimum distance between two  
nearest neighbouring outer cladding features is smaller  
5 than a shortest optical wavelength of light guided  
through the fibre when in use.

79. An article according to any of the preceding claims,  
wherein the outer cladding has an effective refractive  
10 index with a value lower than the effective refractive  
index of any of the cores.

80. An article according to any of the claims 25-79,  
wherein the outer cladding has an effective refractive  
15 index no, with a value lower than the effective  
refractive index of the inner cladding or any of the  
inner cladding regions.

81. An article according to any of the claims 17-80,  
20 wherein the second outer cladding region is made of a  
homogeneous material.

82. An article according to any of the preceding claims,  
wherein the largest cross-sectional dimension of the  
25 first outer cladding features are equal to or below 10  
 $\mu\text{m}$ .

83. An article according to any of the preceding claims,  
wherein the largest cross-sectional dimension of the  
30 first outer cladding features are equal to or below 3  $\mu\text{m}$ .

84. An article according to claim 25 or 26 and any of  
claims 27-31 or 33-83, wherein the inner cladding  
comprises a background material and a plurality of  
35 features, said features having a refractive index being

higher and/or lower than the refractive index of the background material.

85. An article according to claim 84, wherein the inner  
5 cladding features have a lower index than the refractive index of the background material.

86. An article according to claim 84 or 85, wherein the inner cladding features are voids.

10

87. An article according to any of claims 81-86, wherein the inner cladding features are filled with vacuum, air, a gas, a liquid or a polymer or a combination thereof.

15 88. An article according to any of claims 81-87, wherein the inner cladding features have a lower index than the refractive index of the background material, and the inner cladding features have a cross-sectional diameter or dimension in the range of 0.3-0.6, preferably 0.3-0.5  
20 times, more preferably 0.2-0.3 times, and most preferably 0.22-0.28 times a centre-to-centre spacing between neighbouring inner cladding features.

89. An article according to claim 88, wherein the centre-  
25 to-centre spacing between neighbouring inner cladding features is an average centre-to-centre spacing.

90. An article according to claim 88 or 89, wherein the centre-to-centre spacing is larger than 5 $\mu$ m, in the range  
30 of 8-12 $\mu$ m, or about 10 $\mu$ m.

91. An article according to any of claims 81-90, wherein the core comprises one or more dopants for raising or lowering the refractive index above the refractive index  
35 of the background material of the inner cladding.

92. An article according to any of claims 81-91, wherein the outer diameter of the inner cladding is within the range from 60-400 $\mu$ m, or within the range from 200-400 $\mu$ m.

5

93. An article according to any of the preceding claims, wherein the optical fibre has a length with a first end and a second end, and wherein the cross-sectional area of the first outer cladding features in the first end are  
10 larger than any cross-sectional area of first outer cladding features in the second end.

94. An article according to claim 93, wherein the second end comprises no first outer cladding features, or  
15 wherein the first outer cladding features are fully collapsed in the second end.

95. An article according to any of the claims 17-94, wherein the optical fibre has a length with a first end  
20 and a second end, and wherein the cross-sectional area of the third outer cladding features in the first end are larger than any cross-sectional area of third outer cladding features in the second end.

25 96. An article according to claim 95, wherein the second end comprises no third outer cladding features, or wherein the third outer cladding features are fully collapsed in the second end.

30 97. An article according to any of the preceding claims, wherein the first outer cladding features are elongate features extending in a fibre axial direction.

98. An article according to any of the claims 17-97, wherein the third outer cladding features are elongate features extending in a fibre axial direction.

5 99. An article according to any of the claims 32-98, wherein the inner cladding features are elongate features extending in a fibre axial direction.

10 100. An article according to any of the preceding claims, wherein the background material surrounding the first outer cladding features or the bridging material fulfilling the area between neighbouring first outer cladding features has a lower refractive index than the refractive index of the background material of the inner  
15 cladding.

101. An article according to claim 100, wherein the background material surrounding the first outer cladding features or the bridging material fulfilling the area  
20 between neighbouring first outer cladding features has a lower refractive index being less than 4% lower than the refractive index of the background material of the inner cladding, such as less than 3% lower, such as 2% lower, such as 1% lower.

25 102. An article according to claim 100 or 101, wherein the core has a refractive index being less than 1.0% different from the refractive index of the background material of the inner cladding, such as less than 0.5% different, such as less than 0.2% different, such as less  
30 than 0.1% different, such as less than 0.05% different.

103. An article according to claim 102, wherein the core has a refractive index being equal to or higher than the

refractive index of the background material of the inner cladding.

104. An article according to claim 102, wherein the core  
5 has a refractive index being equal to or lower than the refractive index of the background material of the inner cladding.

105. An article according to any of the claims 6-100,  
10 wherein the article is an endoscope.

106. An optical fibre for guiding light of at least one  
predetermined wavelength, the optical fiber having a  
15 longitudinal direction and a cross-section perpendicular thereto, the optical fibre comprising:

(a) at least one core region (52,180,241,250,251,262);

20 (b) a cladding region, said cladding region comprising:

an outer cladding, said outer cladding comprising:

25 (i) at least one a first outer cladding region, said first outer cladding region comprising a first outer cladding background material and a plurality of first outer cladding features (50,51,181,242), said first outer cladding features having a lower refractive index than  
30 said first outer cladding background material, and surrounding said at least one core region, and

35 (ii) at least one further outer cladding region (54,171,172,173,182,240), each of said at least



one further outer cladding regions comprising a further outer cladding background material, and surrounding said first outer cladding region,

5 wherein for a plurality of said first outer cladding features, two nearest neighbouring first outer cladding features have a minimum distance smaller than the wavelength of said light of at least one predetermined wavelength.

10

107. The optical fiber according to claim 106 further comprising an inner cladding region (53,102,201), said inner cladding region comprising an inner cladding background material.

15

108. An optical fibre according to claims 106 or 107 said inner cladding region comprising at least one inner cladding feature (101,202).

20

109. An optical fibre according to claim 106, wherein the core is surrounded by an outer cladding, the outer cladding comprising the first and a further outer cladding regions with the first outer cladding region arranged between the core and the further outer cladding region.

25

110. An optical fibre according to any one of claims 106-109 wherein the first outer cladding region in the cross section has an inner diameter or inner cross-sectional dimension being larger than or equal to 15  $\mu\text{m}$ .

30

111. An optical fibre according to any one of claims 106-110 wherein the inner diameter or inner cross-sectional

dimension of the first outer cladding region is larger than or equal to 20  $\mu\text{m}$ .

112. An optical fibre according to any one of claims 106-  
5 112 wherein the inner diameter or inner cross-sectional dimension of the first outer cladding region is in the range from 80  $\mu\text{m}$  to 125  $\mu\text{m}$  or in the range from 125  $\mu\text{m}$  to 350  $\mu\text{m}$ .
- 10 113. An optical fibre according to any one of claims 106-112 wherein each of said at least one core regions are surrounded by a corresponding first outer cladding region.
- 15 114. An optical fibre according to any one of claims 106-113 wherein each of said at least one core regions are surrounded by a common first outer cladding region.
115. An optical fibre according to any one of claims 106-  
20 114 wherein at least part of the plurality of cores each being surrounded by a corresponding first outer cladding region are arranged so that the first outer cladding regions of two neighbouring cores share a number of said first outer cladding features.
- 25 116. An optical fibre according to any one of claims 106-115 wherein all of the plurality of cores each being surrounded by a first outer cladding region are arranged so that the first outer cladding regions of two  
30 neighbouring cores share a number of said first outer cladding features.
-

117. An optical fibre according to any one of claims 106-116 wherein a number or all of the first outer cladding regions in the cross section have an inner diameter or inner cross-sectional dimension being in the range of 5-  
5 100  $\mu\text{m}$ .

118. An optical fibre according to any one of claims 106-117 wherein a number or all of the first outer cladding regions in the cross section have an inner diameter or  
10 inner cross-sectional dimension being in the range of 30-60  $\mu\text{m}$ .

119. An optical fibre according to any one of claims 106-118 wherein said plurality of cores each being surrounded  
15 by a first outer cladding region comprises at least 20 cores, preferably at least 100 cores, more preferred at least 1000 cores, in particular at least 3000 cores.

120. An optical fibre according to any one of claims 106-20 119 wherein for a plurality of said first outer cladding features, the minimum distance between two nearest neighbouring outer cladding features is smaller than 1.0  $\mu\text{m}$ , preferably smaller than 0.8, more preferably smaller than 0.7, still more preferably smaller than 0.6,  
25 most preferably smaller than 0.5  $\mu\text{m}$ , particularly smaller than 0.4  $\mu\text{m}$ , more particularly smaller than 0.3  $\mu\text{m}$ , most particularly smaller than 0.2  $\mu\text{m}$ .

121. An optical fibre according to any one of claims 106-30 120 guiding at least two predetermined wavelength wherein for a plurality of said first outer cladding features, the minimum distance between two nearest neighbouring first outer cladding features is smaller than a shortest optical wavelength of light guided through the fibre.

122. An optical fibre according to any one of claims 106-121 wherein the core(s) has/have a cross-sectional dimension larger than 25 $\mu$ m, such as larger than 50 $\mu$ m, such as larger than 75 $\mu$ m, such as larger than 100 $\mu$ m, thereby  
5 causing the fibre to guide light in multiple modes within the core.

123. An optical fibre according to any one of claims 106-122 wherein one or more of the cores is/are surrounded by  
10 an inner cladding region having an effective refractive index with a lower value than the effective refractive index of the core being surrounded, said inner cladding regions being part of an inner cladding.

15 124. An optical fibre according to any one of claims 106-123 wherein each inner cladding region is surrounded by a corresponding first outer cladding region.

125. An optical fibre according to any one of claims 106-20 124 wherein for one or more first outer cladding regions the first outer cladding features occupy 45% or more of the cross-sectional area of the first outer cladding region.

25 126. An optical fibre according to any one of claims 106-125 wherein for all first outer cladding regions the first outer cladding features occupy 45% or more of the cross-sectional area of the first outer cladding region.

30 127. An optical fibre according to any one of claims 106-126 wherein the first outer cladding features occupy at least 50%, such as at least 60%, or such as at least 70% of the cross-sectional area of the first outer cladding.

128. An optical fibre according to any one of claims 106-127 wherein the inner cladding or one or more of the inner cladding regions in the cross-section comprise one single inner cladding feature.

5

129. An optical fibre according to any one of claims 106-128 wherein the inner cladding or one or more of the inner cladding regions in the cross-section comprise at least two inner cladding features.

10

130. An optical fibre according to any one of claims 106-129 wherein the inner cladding or each inner cladding region in the cross-section comprises less than 10 inner cladding features.

15

131. An optical fibre according to any one of claims 106-130 wherein the inner cladding features are placed in a non-periodic manner.

20

132. An optical fibre according to any one of claims 106-131 wherein the optical fibre comprises at least two cores being surrounded by a common first outer cladding region.

25

133. An optical fibre according to any one of claims 106-132 wherein the optical fibre comprises at least seven cores, at least 19 cores, or at least 37 cores being surrounded by a common first outer cladding region.

30

134. An optical fibre according to any one of claims 106-133 wherein the optical fibre comprises a plurality of cores in a substantially annular arrangement, said plurality of cores being surrounded by the common first outer cladding region.

35

135. An optical fibre according to any one of claims 106-134 wherein the optical fibre comprises a core being surrounded by an inner cladding having a number of inner cladding features being periodically distributed within  
5 said inner cladding, said inner cladding being surrounded by the first outer cladding region.

136. An optical fibre according to any one of claims 106-135 wherein the core comprises a core feature having a  
10 lower refractive index than the refractive index of the core material surrounding the core feature.

137. An optical fibre according to any one of claims 106-136 wherein the periodically arrangement of the inner  
15 cladding features comprises at least 4 or 5 periods in a radial direction from the centre of the inner cladding.

138. An optical fibre according to any one of claims 106-137 wherein the first outer cladding features are placed  
20 in a non-circular symmetric manner.

139. An optical fibre according to any one of claims 106-138 wherein the first outer cladding region in the cross  
section has a substantially hexagonal like shape.

25 140. An optical fibre according to any one of claims 106-139 wherein first outer cladding features of different size are present.

30 141. An optical fibre according to any one of claims 106-140 wherein the first outer cladding features are voids.

142. An optical fibre according to any one of claims 106-141 wherein the first outer cladding features are filled

with vacuum, air, a gas, a liquid or a polymer or a combination thereof.

143. An optical fibre according to any one of claims 106-  
5 142 wherein the core(s) surrounded by an inner cladding region has/have a cross-sectional dimension smaller than 10µm.

144. An optical fibre according to any one of claims 106-  
10 143 wherein the core(s) comprises/comprise at least one member of the group consisting of Ge, Al, P, Sn and B.

145. An optical fibre according to any one of claims 106-  
144 wherein the core(s) comprises/comprise at least one  
15 rare earth, such as Er, Yb, Nd, La, Ho, Dy and/or Tm.

146. An optical fibre according to any one of claims 106-  
145 wherein the fibre has a long period grating or a fibre Bragg grating along at least a part of the fibre  
20 length.

147. An optical fibre according to any one of claims 106-  
146 wherein the fibre comprises a background material being silica, chalcogenide, or another type of glass.  
25

148. An optical fibre according to any one of claims 106-  
147 wherein the fibre comprises a background material being polymer.

30 149. An optical fibre according to any one of claims 106-148 wherein the optical fibre is a cladding pumped fibre laser or amplifier.

150. An optical fibre according to any one of claims 106-  
35 149 wherein the optical fibre is a cladding pumped fibre

laser or amplifier comprising a pump radiation source and a length of said optical fibre.

5 151. An optical fibre according to any one of claims 106-150 wherein said predetermined wavelength is determined by the wavelength of said pump radiation source.

10 152. An optical fibre according to any one of claims 106-151 wherein said optical fibre in the cross-section has a non-uniform shape of the inner cladding region along the fibre length.

15 153. An optical fibre according to any one of claims 106-153 wherein the first outer cladding features are periodically distributed.

20 154. An optical fibre according to any one of claims 106-153 wherein the inner cladding region acts as a reservoir for light generated in the core.

25 155. An optical fibre according to any one of claims 106-154 wherein the optical fibre comprises at least two cores.

30 156. An optical fibre according to any one of claims 106-155 wherein said at least two cores are adapted for high power broadband amplification.

35 157. An optical fibre according to any one of claims 106-156 wherein the fibre core material is the same as the background material of the inner cladding region.

158. An optical fibre according to any one of claims 106-157 wherein said optical fibre is optically pumped bi-directionally.



159. An optical fibre according to any one of claims 106-158 wherein for a majority or all of said first outer cladding features, the minimum distance between two nearest neighbouring outer cladding features is smaller than 1.0 $\mu$ m.

160. An optical fibre according to any one of claims 106-159 wherein for a majority or all of said first outer cladding features, the minimum distance between two nearest neighbouring outer cladding features is smaller than 0.5 $\mu$ m, such as smaller than 0.4 $\mu$ m, such as smaller than 0.3 $\mu$ m, or such as smaller than 0.2 $\mu$ m.

161. An optical fibre according to any one of claims 106-160 wherein for a majority or all of said first outer cladding features, the minimum distance between two nearest neighbouring outer cladding features is smaller than a predetermined wavelength.

162. An optical fibre according to any one of claims 106-161 wherein for a majority or all of said first outer cladding features, the minimum distance between two nearest neighbouring outer cladding features is smaller than a predetermined wavelength.

163. An optical fibre according to any one of claims 106-162 wherein the second outer cladding region is made of a homogeneous material.

164. An optical fibre according to any one of claims 106-163 wherein the largest cross-sectional dimension of the first outer cladding features is equal to or below 10  $\mu$ m.

165. An optical fibre according to any one of claims 106-164 wherein the largest cross-sectional dimension of the first outer cladding features is equal to or below 3  $\mu\text{m}$ .

5 166. An optical fibre according to any one of claims 106-165 wherein the inner cladding comprises a background material and a plurality of features, said features having a refractive index being higher and/or lower than the refractive index of the background material.

10 167. An optical fibre according to any one of claims 106-166 wherein the inner cladding features have a lower index than the refractive index of the background material.

15 168. An optical fibre according to any one of claims 106-167 wherein the inner cladding features are voids.

20 169. An optical fibre according to any one of claims 106-168 wherein the inner cladding features are filled with vacuum, air, a gas, a liquid or a polymer or a combination thereof.

25 170. An optical fibre according to any one of claims 106-169 wherein the inner cladding features have a lower index than the refractive index of the background material, and the inner cladding features have a cross-sectional diameter or dimension in the range of 0.3-0.6 times, preferably 0.3-0.5 times, more preferably 0.2-0.3 times, and most preferably 0.22-0.28 times a centre-to-centre spacing between neighbouring inner cladding features.

35 171. An optical fibre according to any one of claims 106-170 wherein the centre-to-centre spacing between

neighbouring inner cladding features is an average centre-to-centre spacing.

172. An optical fibre according to any one of claims 106-  
5 171 wherein the centre-to-centre spacing is larger than  $5\mu\text{m}$ , in the range of  $8\text{-}12\mu\text{m}$ , or about  $10\mu\text{m}$ .

173. An optical fibre according to any one of claims 106-  
10 172 wherein the core comprises one or more dopants for raising or lowering the refractive index above the refractive index of the background material of the inner cladding.

174. An optical fibre according to any one of claims 106-  
15 173 wherein the outer diameter of the inner cladding is within the range from  $60\text{-}400\mu\text{m}$ , or within the range from  $200\text{-}400\mu\text{m}$ .

175. An optical fibre according to any one of claims 106-  
20 174 wherein the optical fibre has a length with a first end and a second end, and wherein the cross-sectional area of the first outer cladding features in the first end are larger than any cross-sectional area of first outer cladding features in the second end.

25 176. An optical fibre according to any one of claims 106-175 wherein the second end comprises no first outer cladding features, or wherein the first outer cladding features are fully collapsed in the second end.

30 177. An optical fibre according to any one of claims 106-176 wherein the first outer cladding features are elongate features extending in a fibre axial direction.

178. An optical fibre according to any one of claims 106-179 wherein the inner cladding features are elongate features extending in a fibre axial direction.

5 179. An optical fibre according to any one of claims 106-178 wherein the background material surrounding the first outer cladding features or the bridging material fulfilling the area between neighbouring first outer cladding features has a lower refractive index than the  
10 refractive index of the background material of the inner cladding.

180. An optical fibre according to any one of claims 106-179 wherein the background material surrounding the first  
15 outer cladding features or the bridging material fulfilling the area between neighbouring first outer cladding features has a lower refractive index being less than 4% lower than the refractive index of the background material of the inner cladding, such as less than 3%  
20 lower, such as 2% lower, such as 1% lower.

181. An optical fibre according to any one of claims 106-180 wherein the core has a refractive index being less than 1.0% different from the refractive index of the  
25 background material of the inner cladding, such as less than 0.5% different, such as less than 0.2% different, such as less than 0.1% different, such as less than 0.05% different.

30 182. An optical fibre according to any one of claims 106-181 wherein the core has a refractive index being equal to or higher than the refractive index of the background material of the inner cladding.

183. An optical fibre according to any one of claims 106-182 wherein the core has a refractive index being equal to or lower than the refractive index of the background material of the inner cladding.

5

184. An optical fibre according to any one of claims 106-183 wherein the optical fibre is an endoscope.

10 185. A method of producing an optical fibre for guiding light of at least one predetermined wavelength, the method comprising:

(a) providing a preform, said preform comprising:

15

(vi) at least one centre preform element (144) for providing a core region (52) of the optical fibre, said center preform element comprising at least one element selected from the group consisting of rods, tubes, or combinations thereof;

20

(vii) a plurality of inner cladding preform elements (142,143) for providing an inner cladding region (53) of the optical fibre, said inner cladding preform elements comprising at least one element selected from the group consisting of rods, tubes, or combinations thereof;

25

30 (viii) a plurality of first outer cladding preform elements (141) for providing a first outer cladding region of the optical fibre, said first outer cladding preform elements comprising a plurality of elements selected from

the group consisting of rods, tubes, or combinations thereof;

5 (ix) optionally a plurality of further outer cladding preform elements for providing at least one further outer cladding region of the optical fibre, said further outer cladding preform elements comprising a plurality of elements selected from the group consisting of  
10 rods, tubes, or combinations thereof; and

(x) an overcladding preform element (140) for providing an outer diameter of the optical fibre, said overcladding preform element comprising an element in form of a tube; and  
15

(b) drawing said preform into a fibre;

wherein said first outer cladding preform elements are  
20 arranged to provide a minimum distance between two neighbouring first outer cladding elements of the optical fibre which is smaller than the wavelength of said light of at least one predetermined wavelength to be transmitted in the optical fibre.

25 186. The method according to claim 185 wherein said first outer cladding preform elements are arranged to provide a minimum distance between two neighbouring first outer cladding elements of the optical fibre which is smaller  
30 than 1.0  $\mu\text{m}$ .

187. A method according to claim 185 or 186 wherein said at least one centre preform element is doped with a rare earth element.

188. A method according to any one of claims 185-187 wherein said plurality of inner cladding preform elements consists of undoped silica.

5 189. A method according to any one of claims 185-188 wherein said plurality of inner cladding preform elements consists of capillary tubes containing air.

10 190. A method according to any one of claims 185-189 wherein said first outer cladding preform elements consist of capillary tubes containing air, said capillary tubes providing a larger air-filling fraction than that provided by capillary tubes of said inner cladding preform elements.

15 191. A method according to any one of claims 185-190 wherein said tubes and rods have circular, squared, or rectangular cross-sectional shapes.

20 192. A method according to any one of claims 185-191 wherein said drawn fibre comprises different outer shapes along its length, preferably said drawn fibre (160) has a circular shape at one end (162), and has a rectangular shape at the other end (161).

25 193. Use of an optical fibre as defined in claim 1-105, or as produced by a method as defined in claims 185-192, in an optical laser, optical amplifier, or endoscope.

30 194. Use according to claim 193 wherein said optical laser is a high-power laser, preferably a cladding pumped laser.

FIG. 1

PRIOR ART

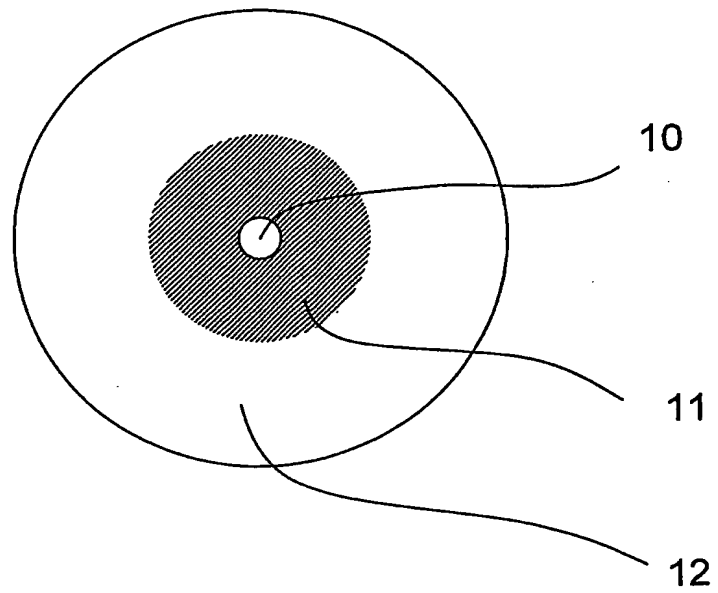


FIG. 2

PRIOR ART

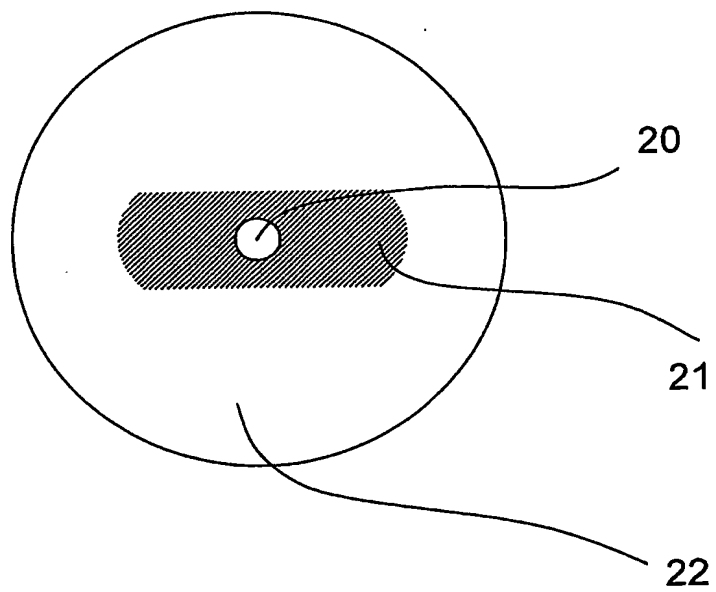




FIG.3

PRIOR ART

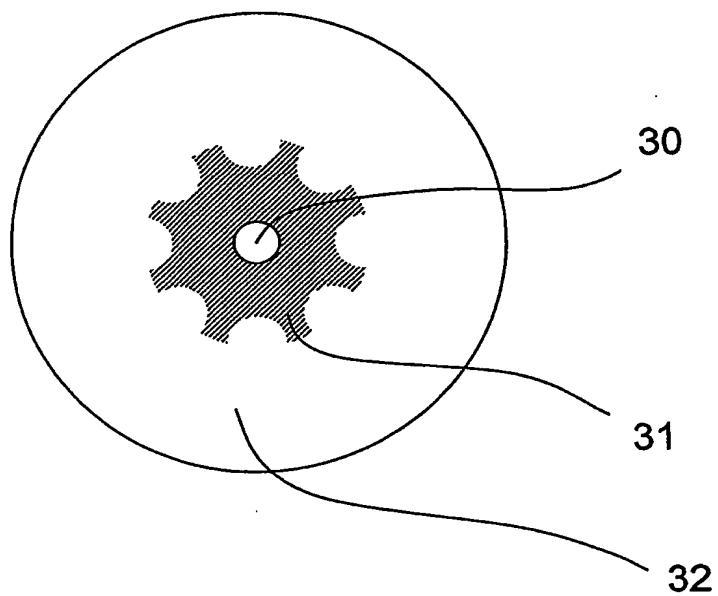


FIG. 4

PRIOR ART

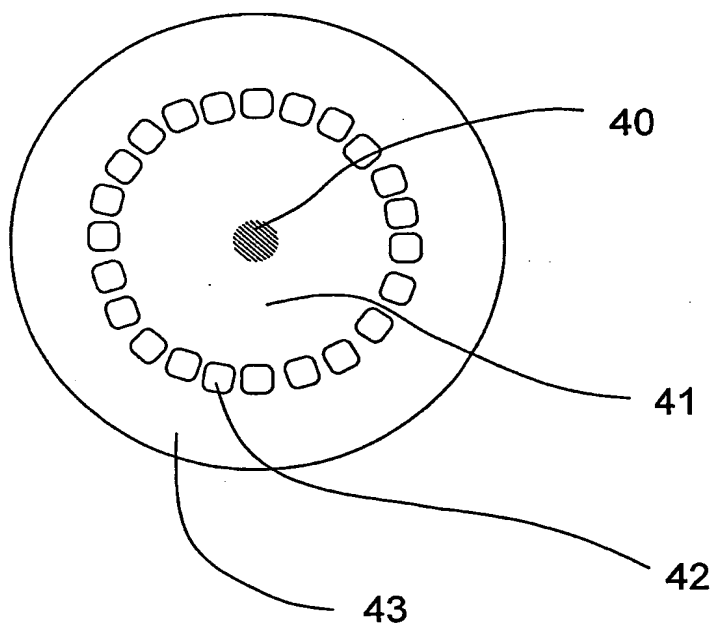


FIG.5a

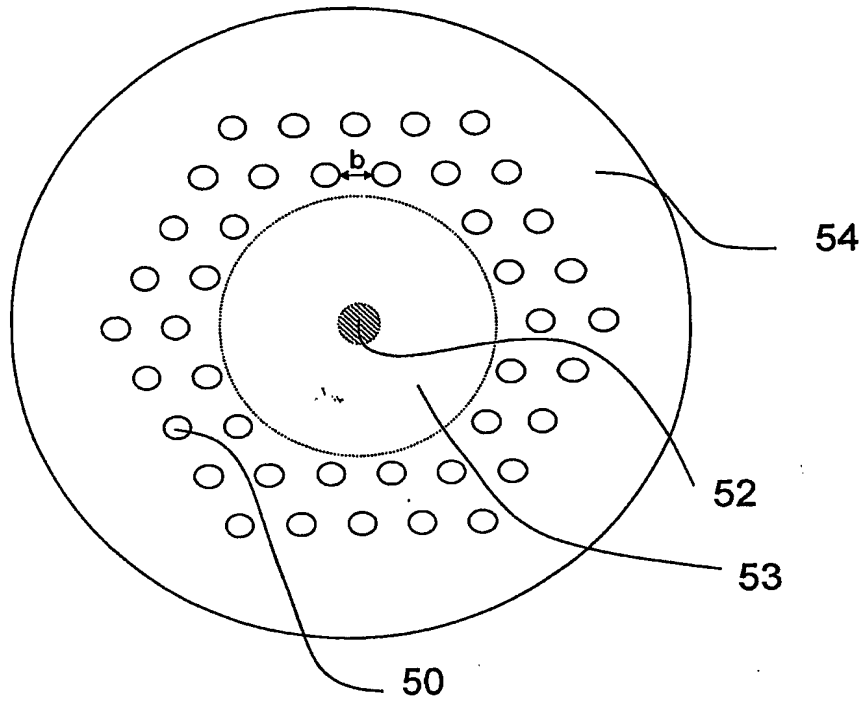


FIG. 5b

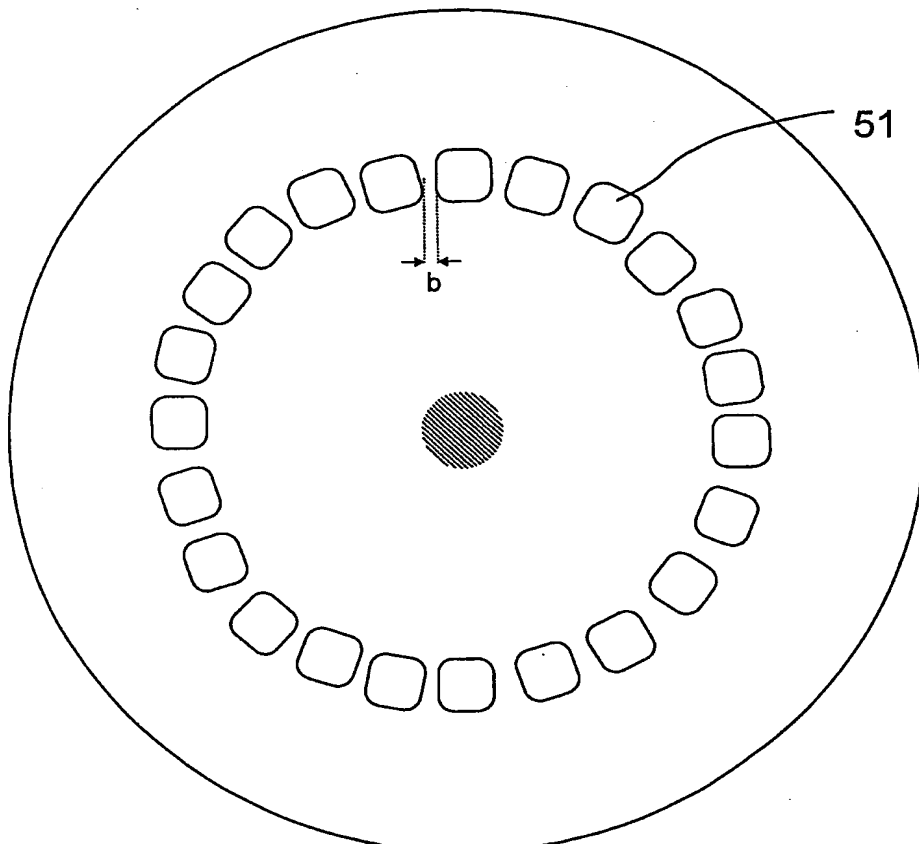


FIG.6

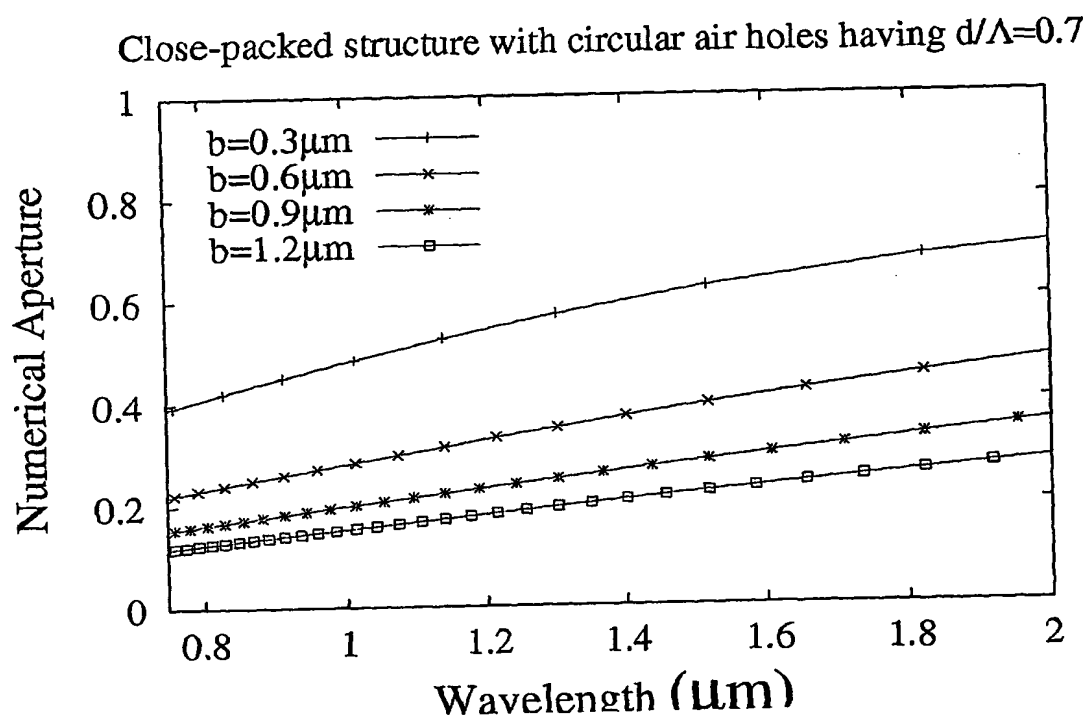


FIG. 7

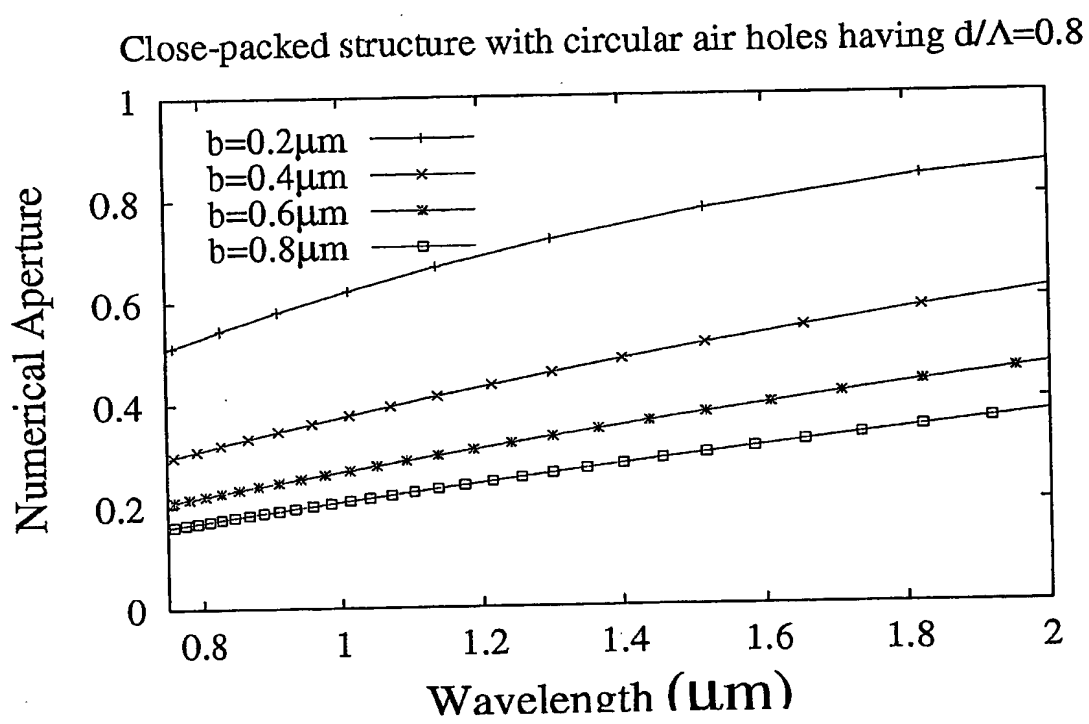


FIG. 8

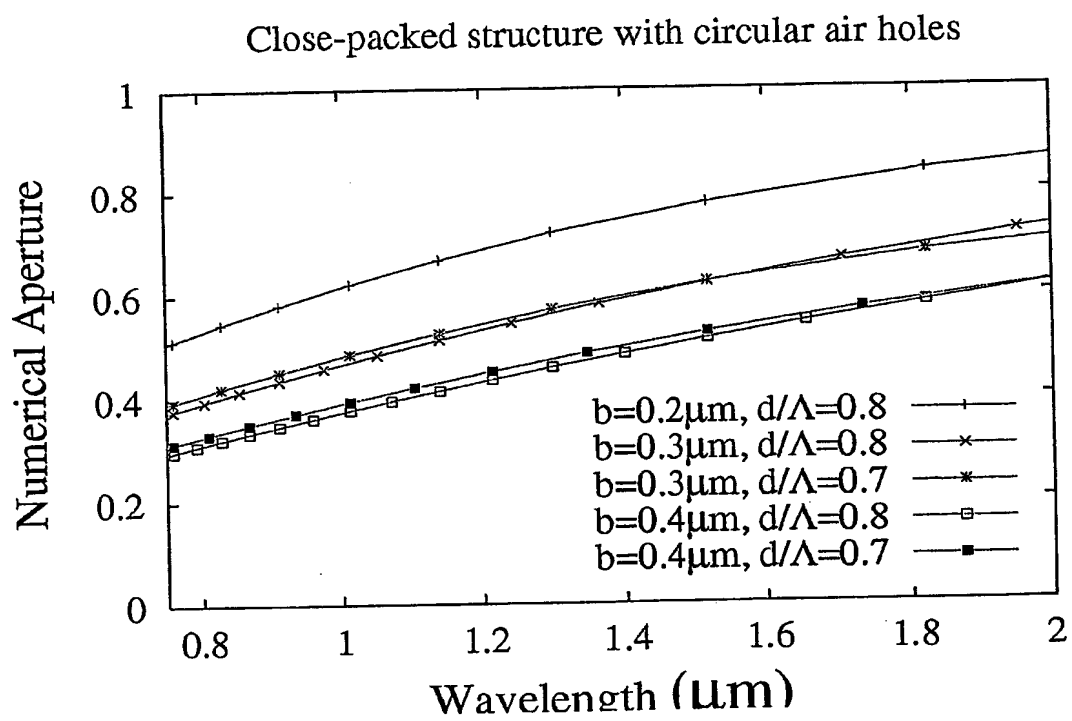


FIG. 9

PRIOR ART

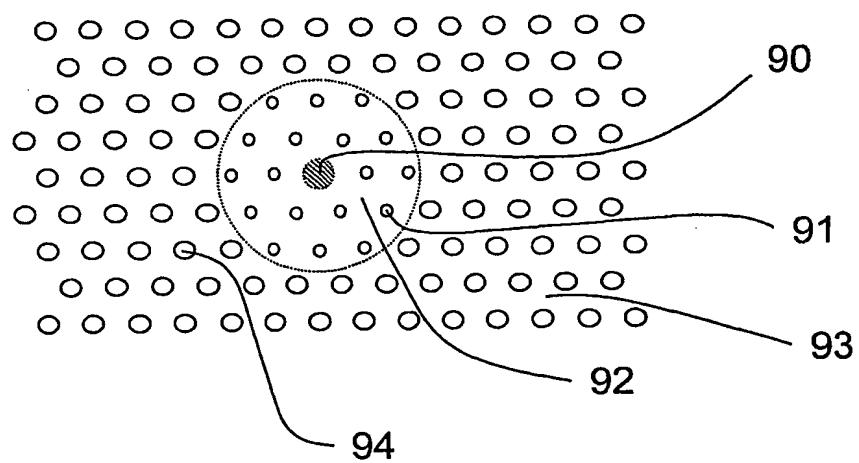


FIG. 10

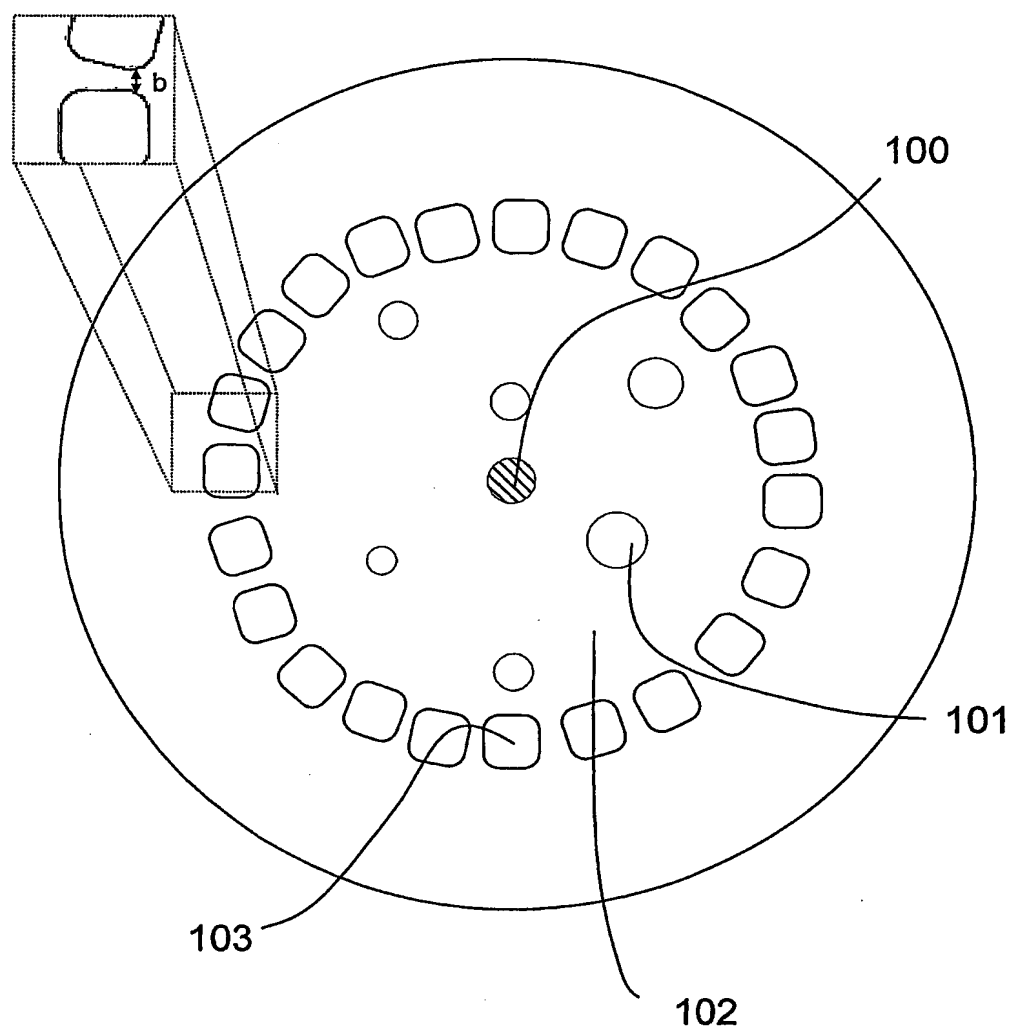


FIG. 11

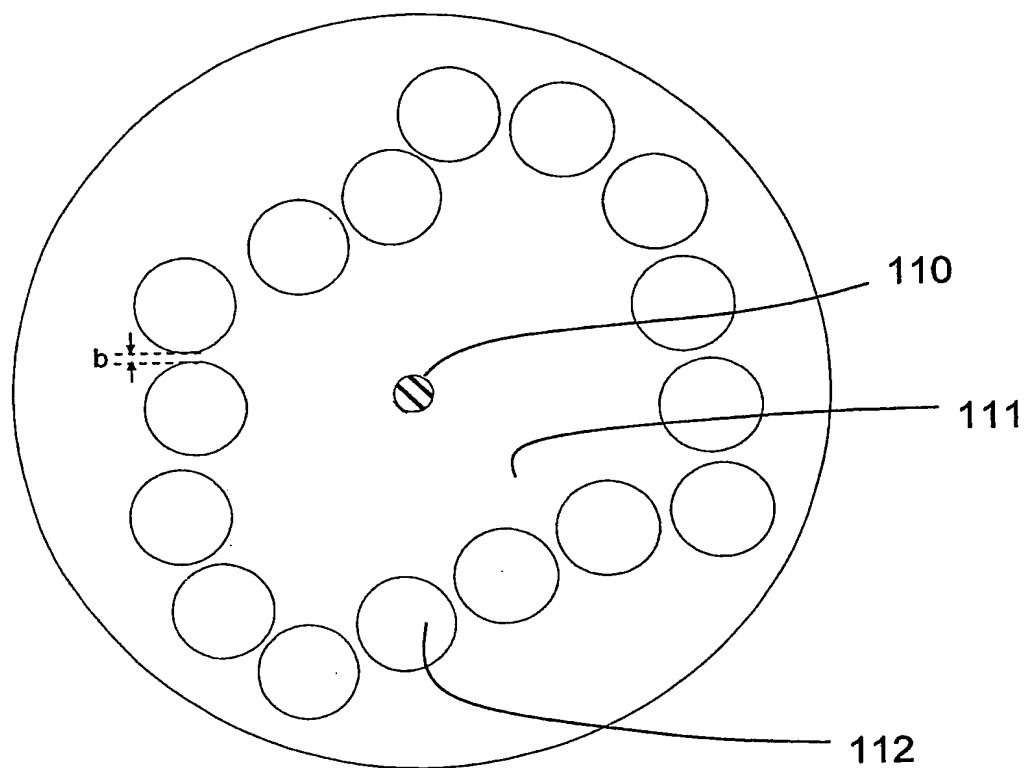
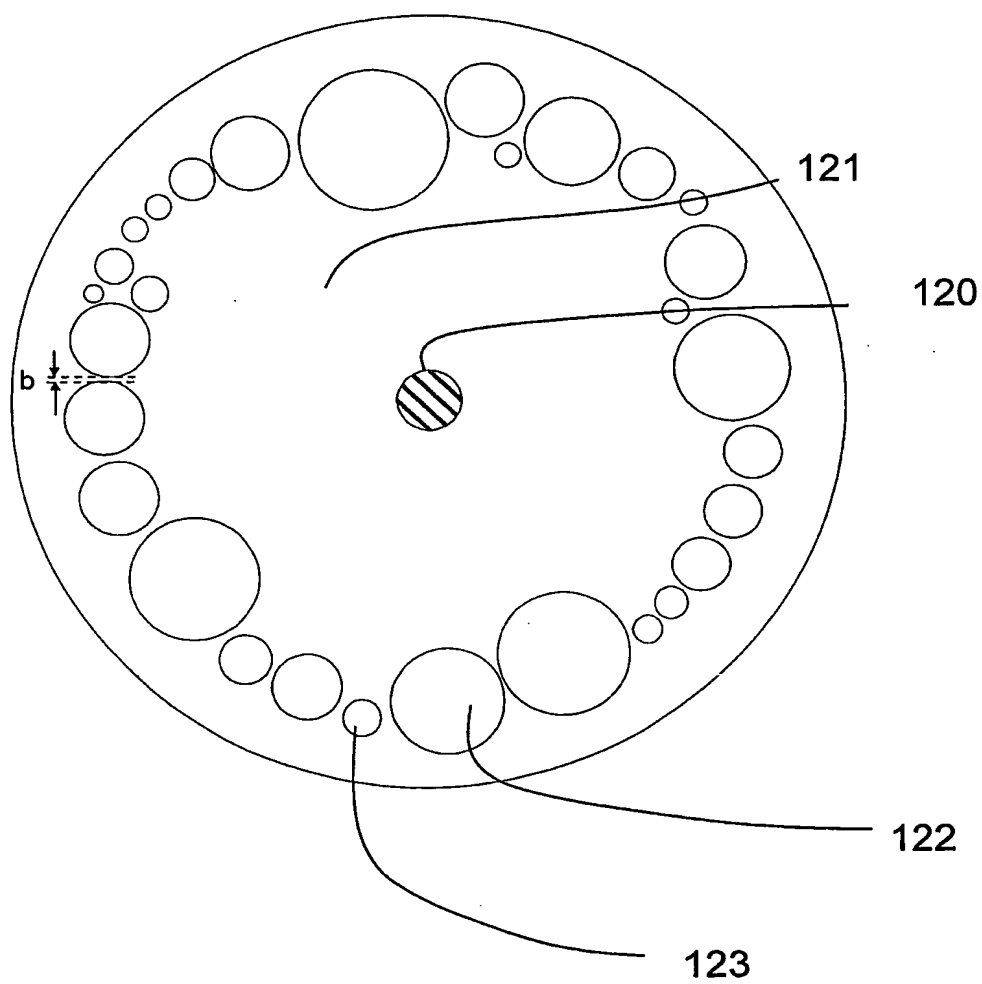


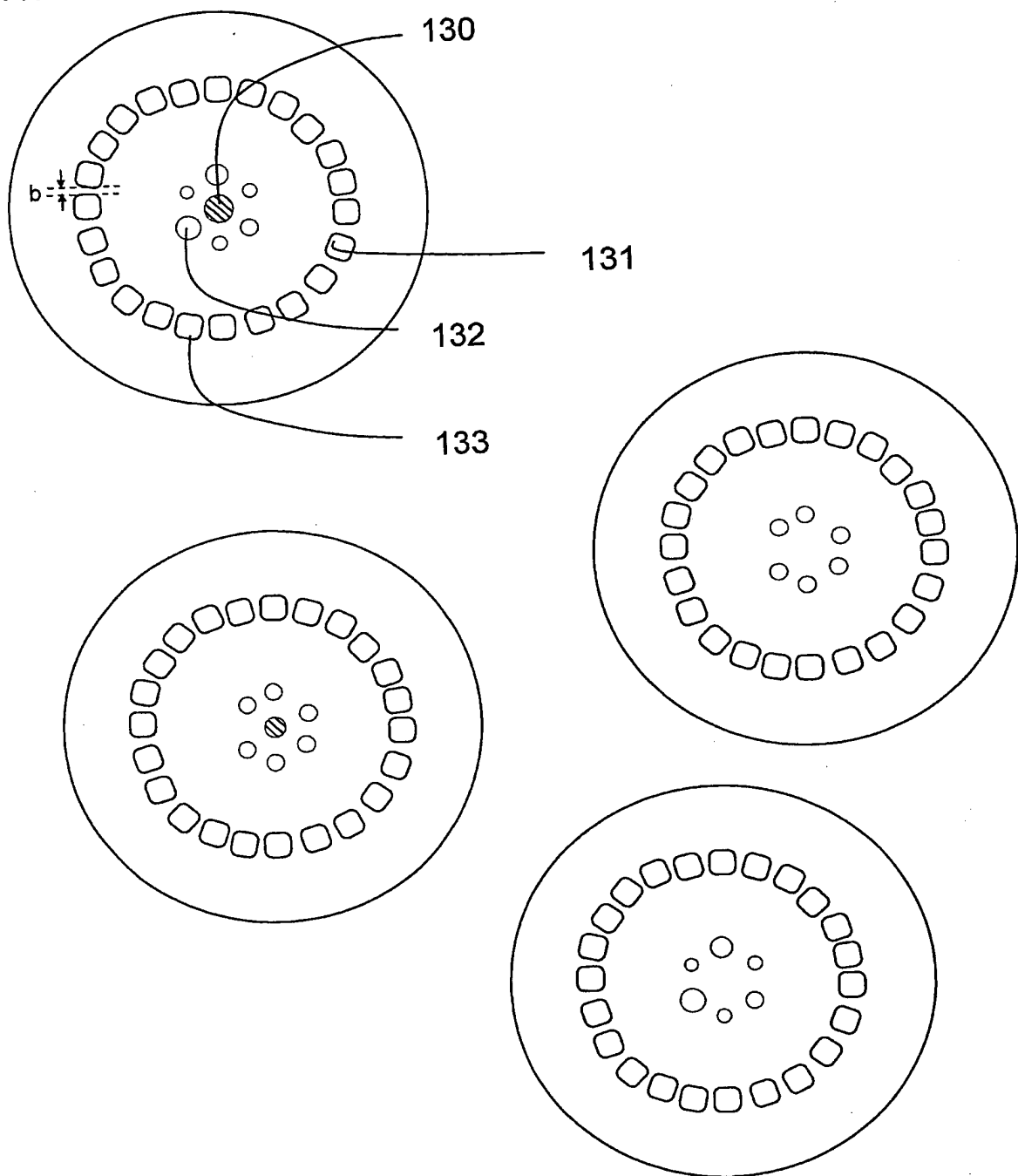


FIG. 12



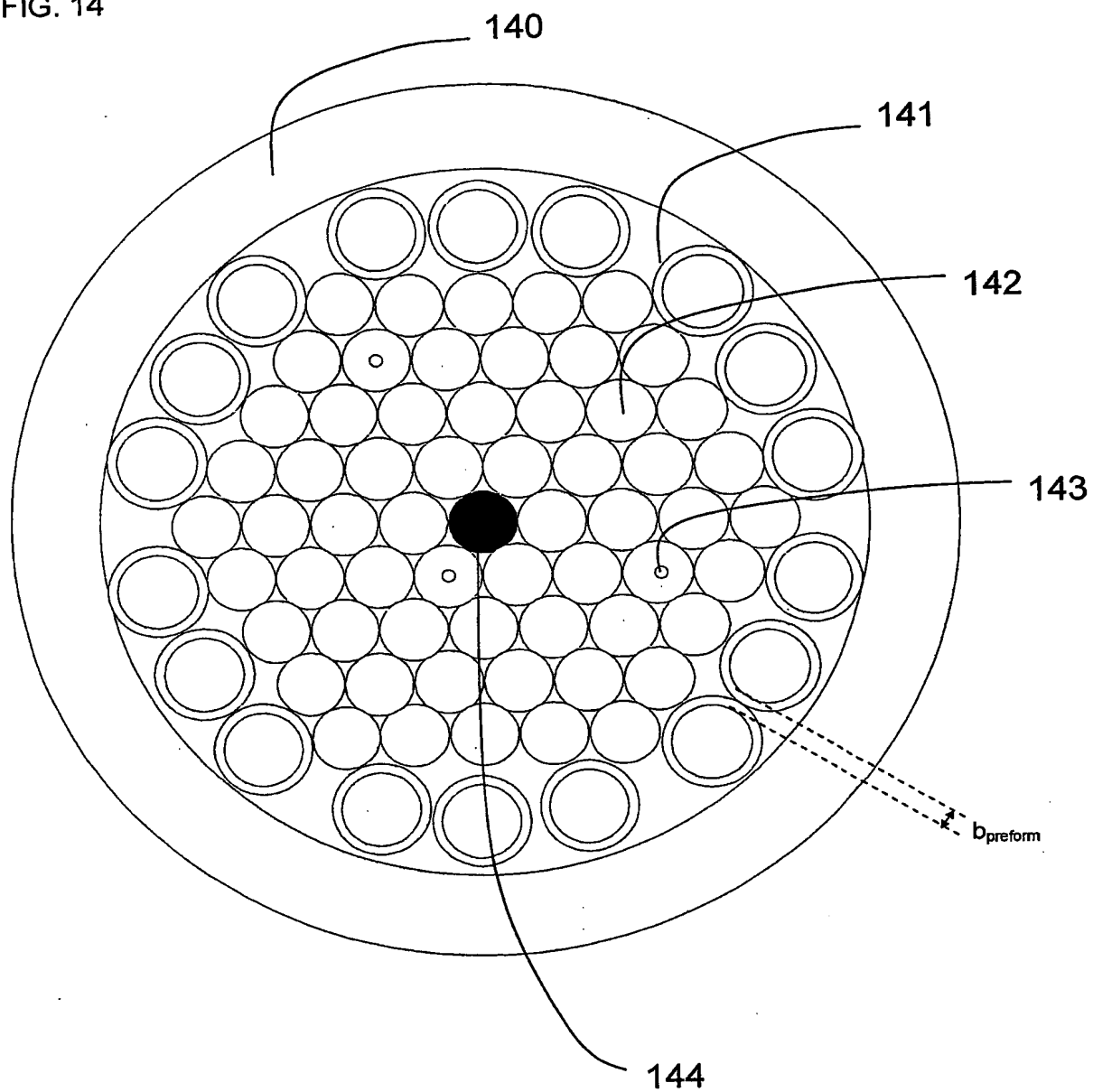
11/31

FIG. 13



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FIG. 14



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FIG. 15

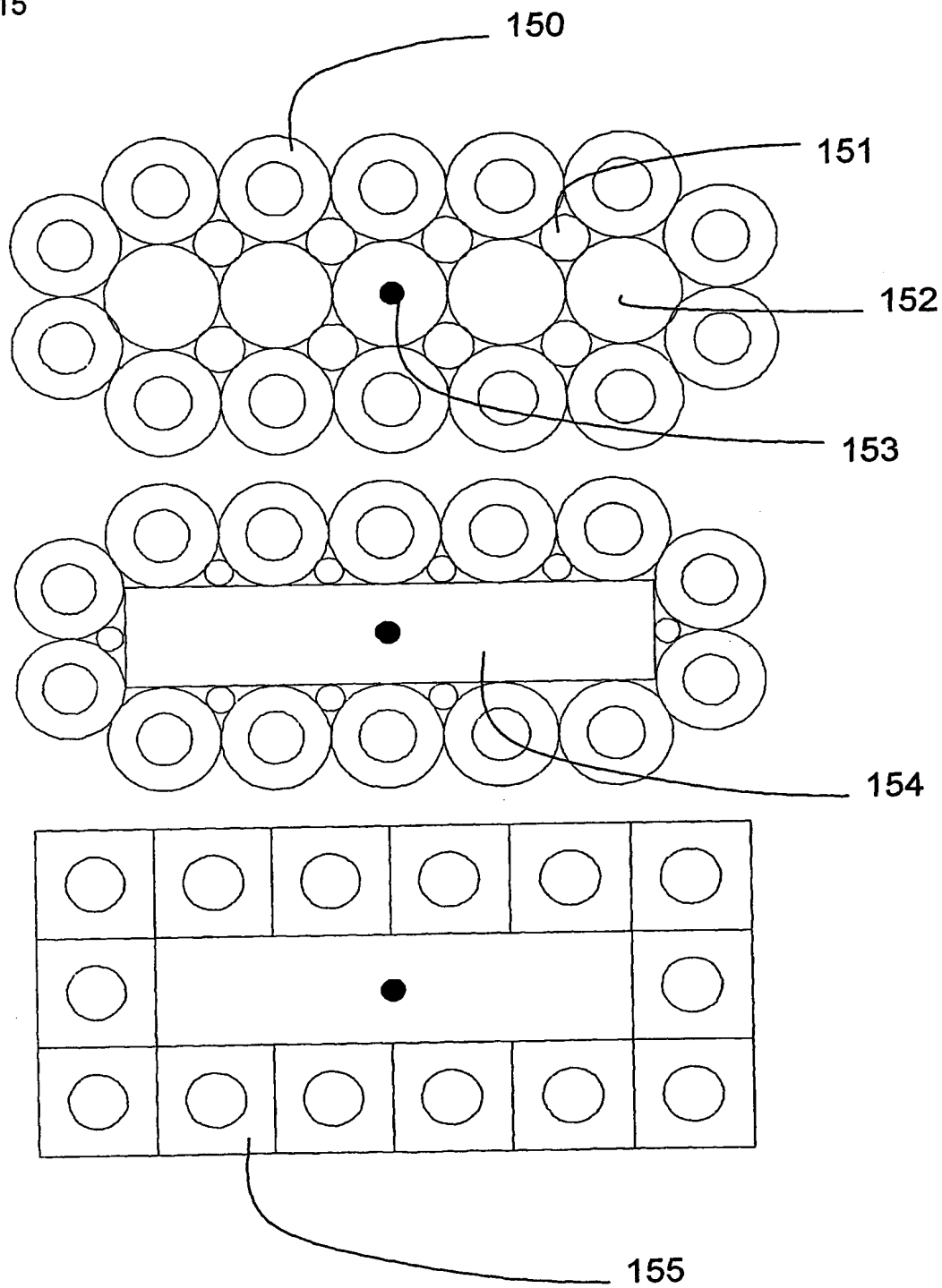


FIG. 16

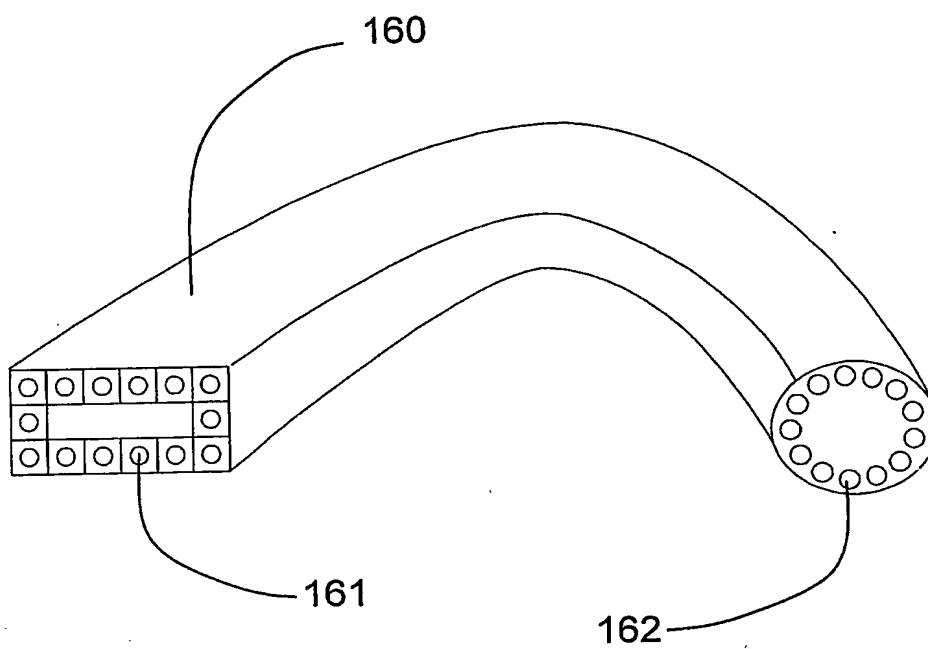


FIG. 17

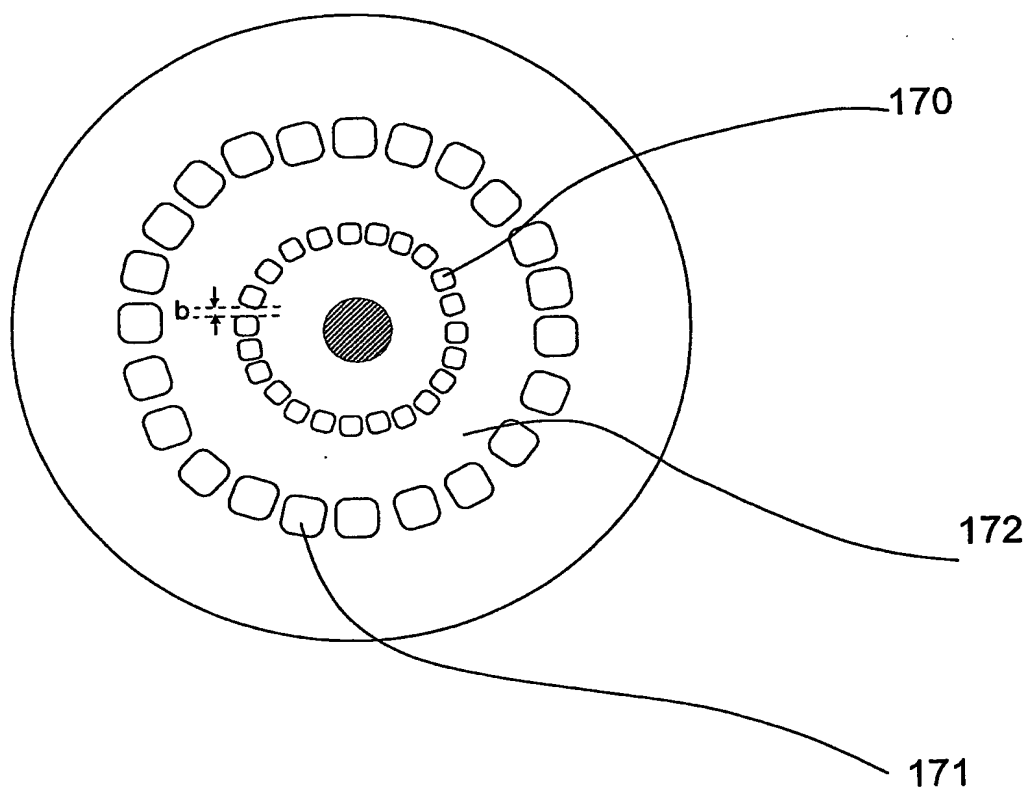


FIG. 18

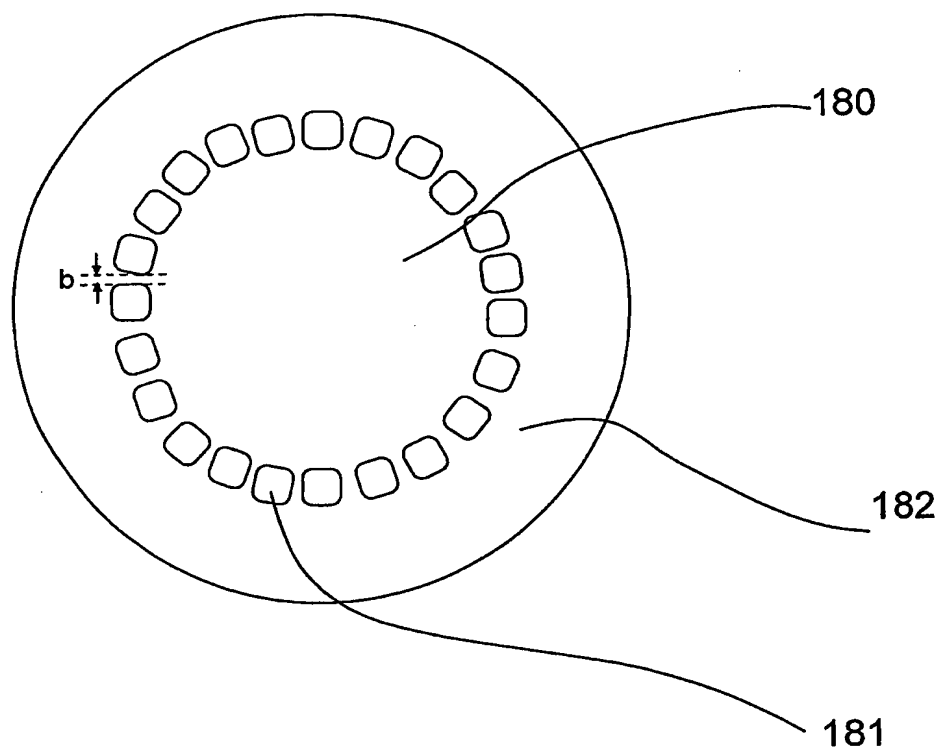


FIG. 19a

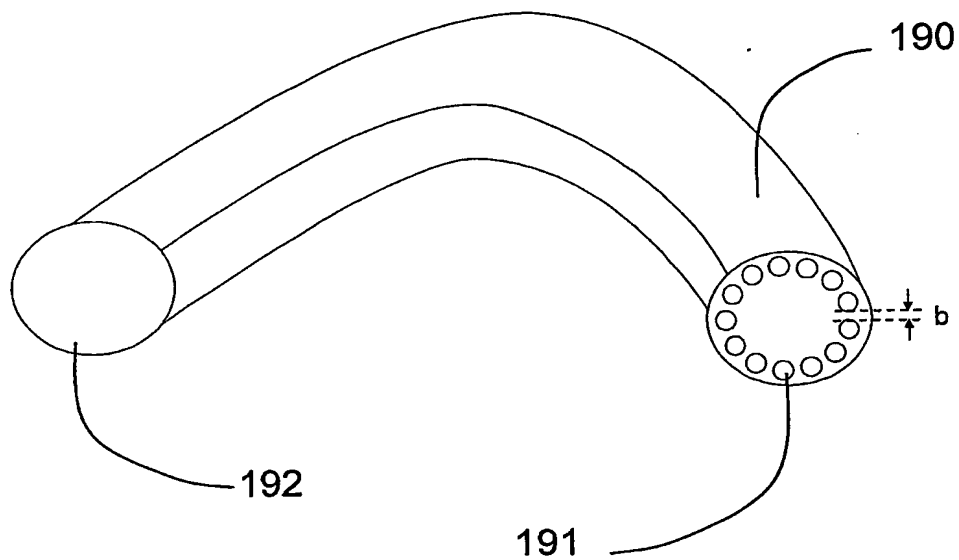


FIG. 19b

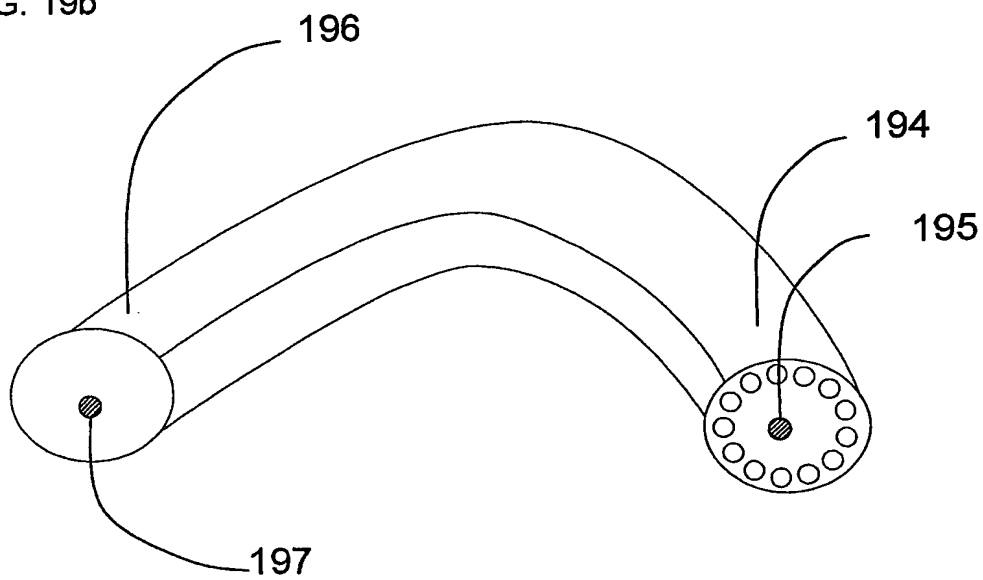




FIG. 20

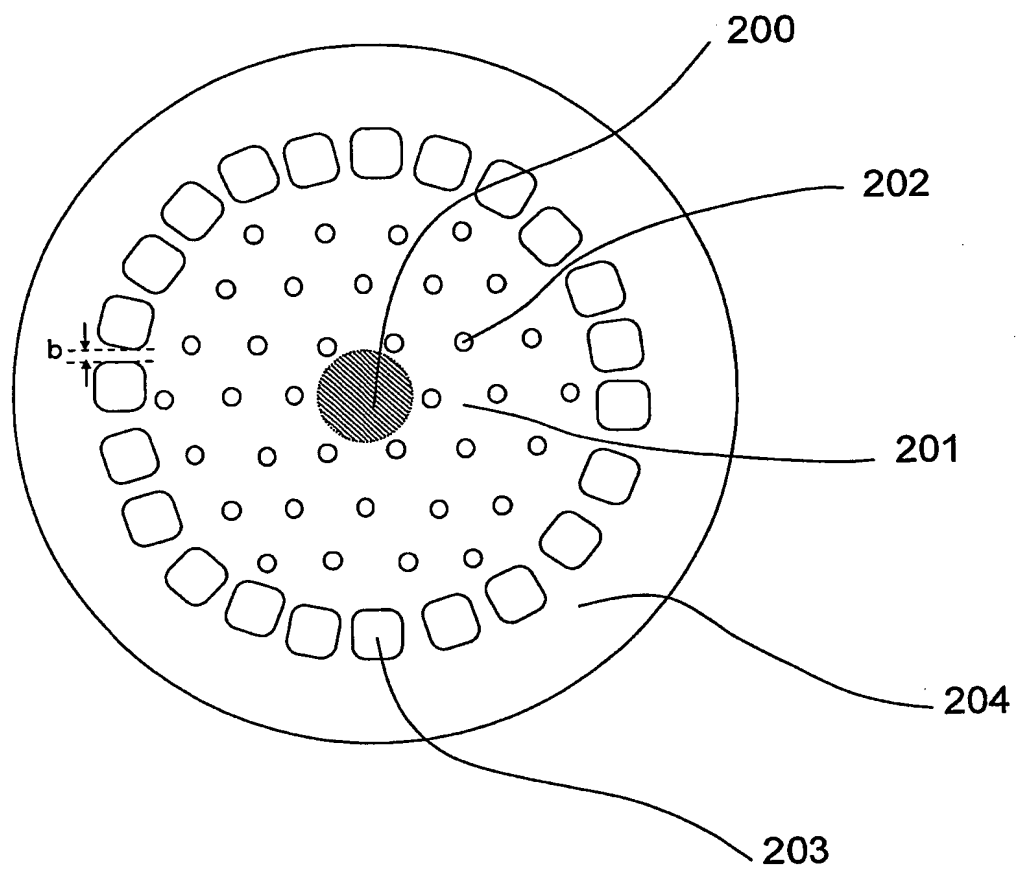


FIG. 21

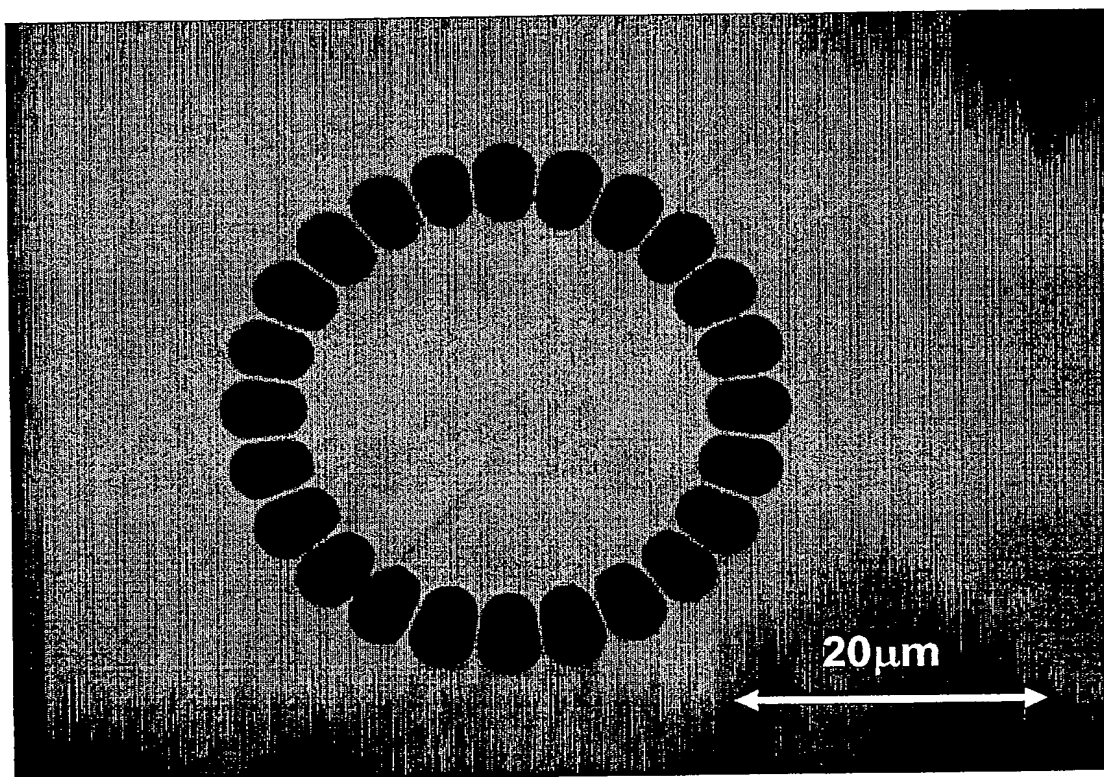


FIG. 22

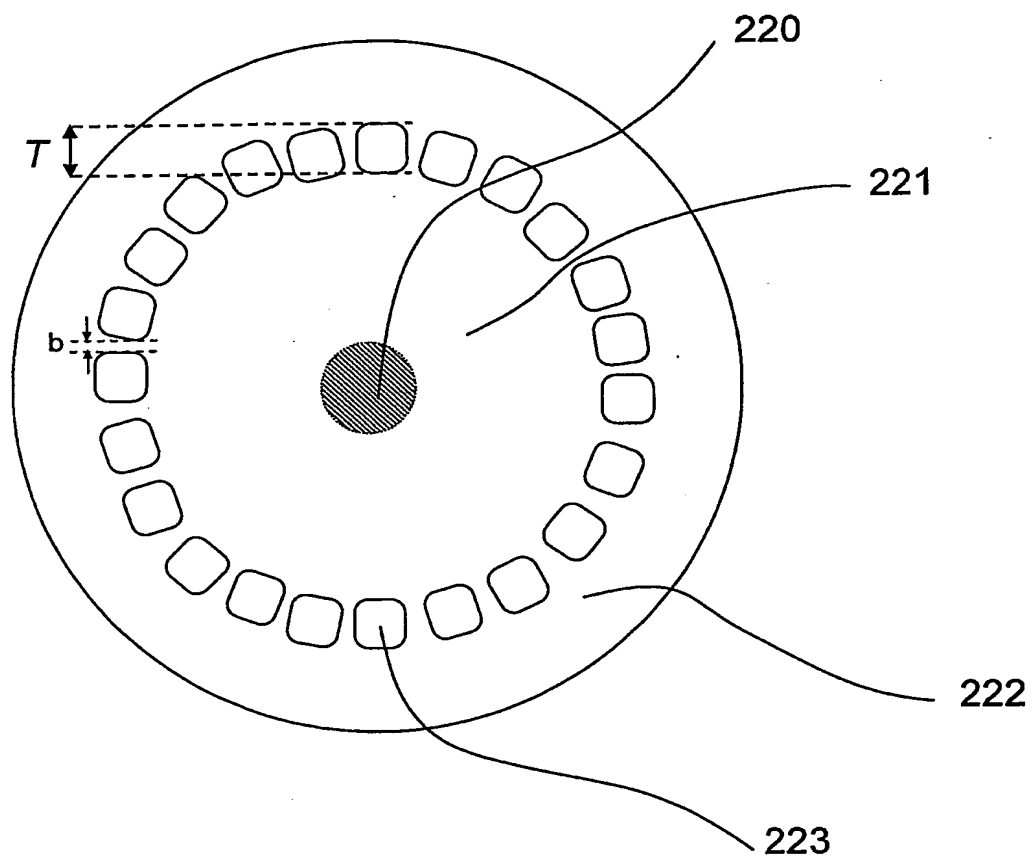


FIG. 23a

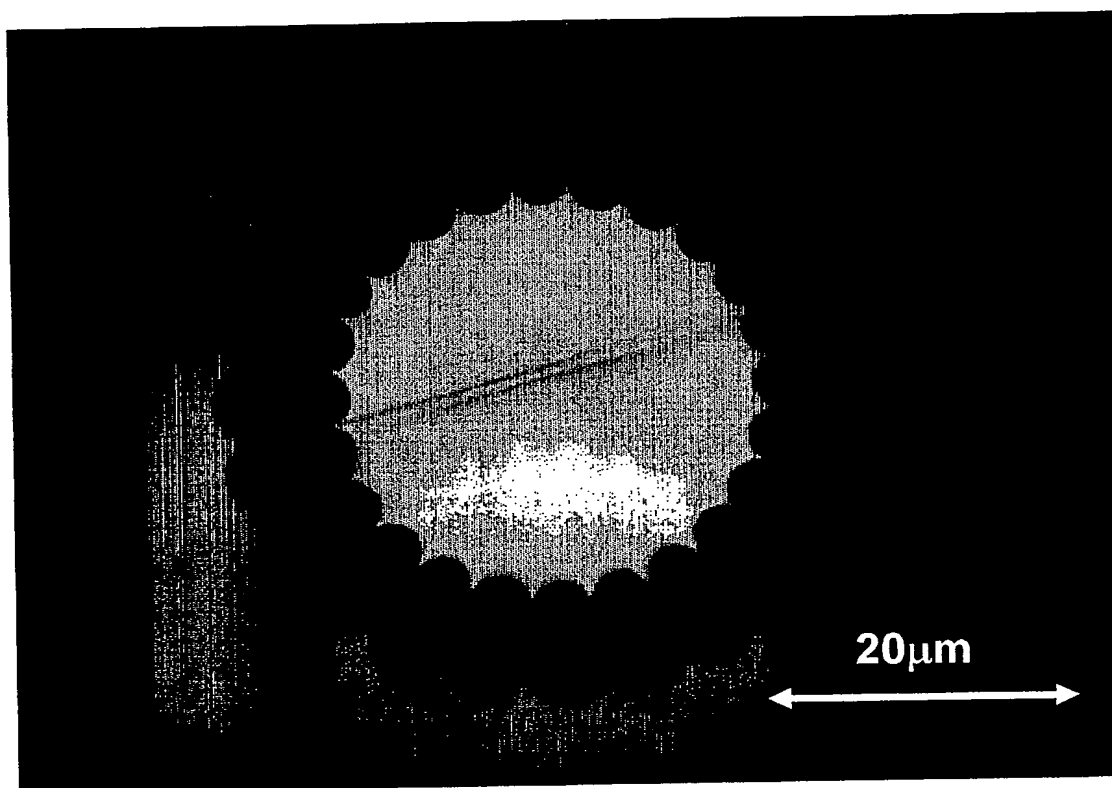


FIG. 23b

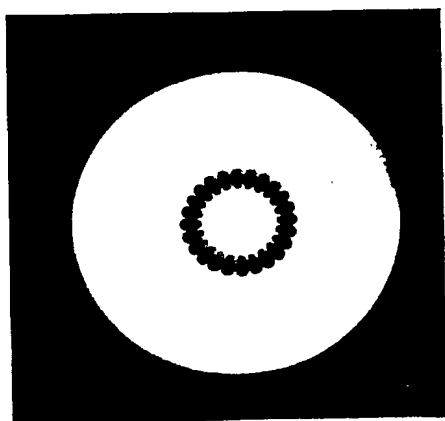


FIG. 24

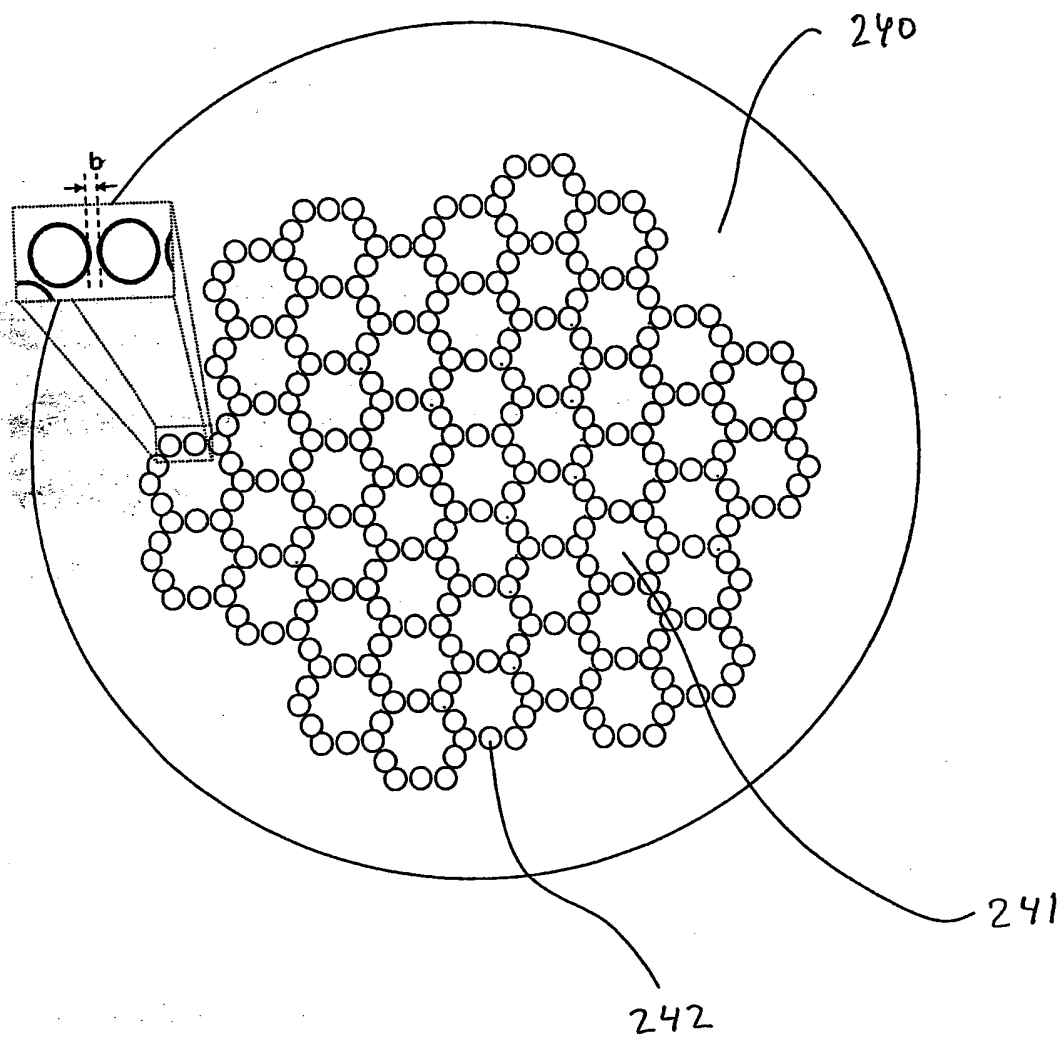


FIG. 25

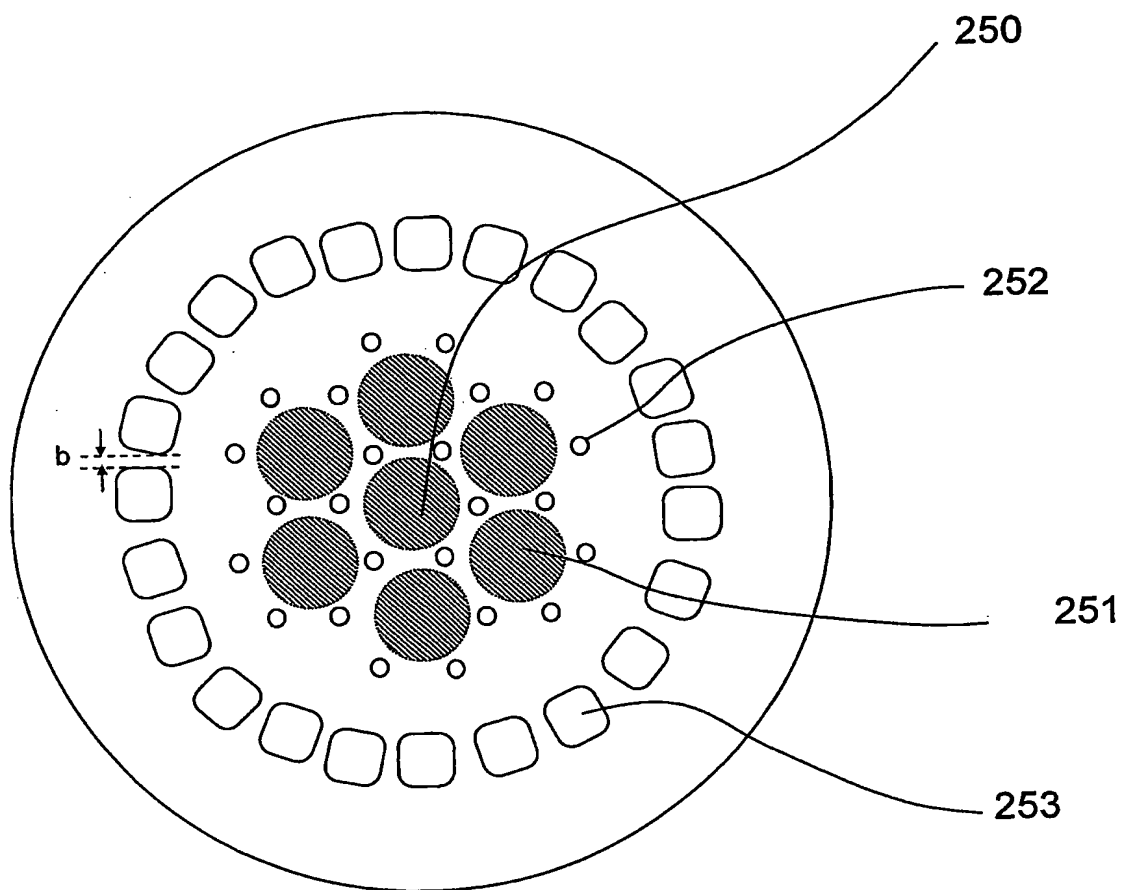


Fig. 26

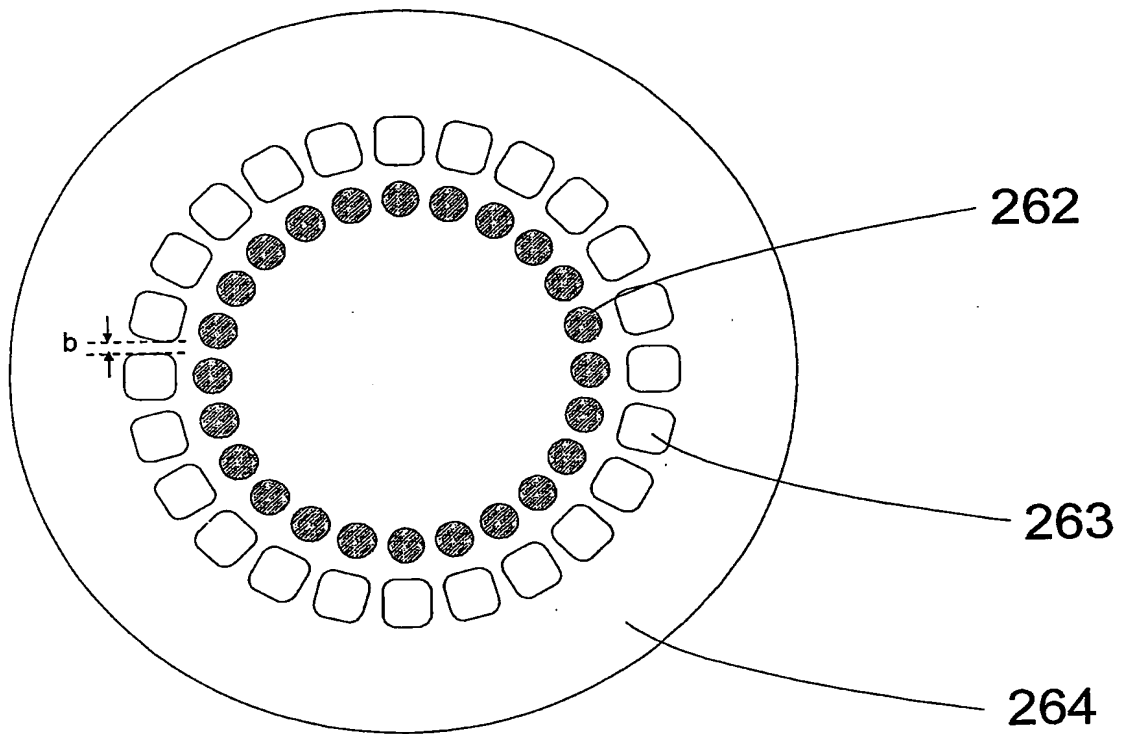


Fig. 27

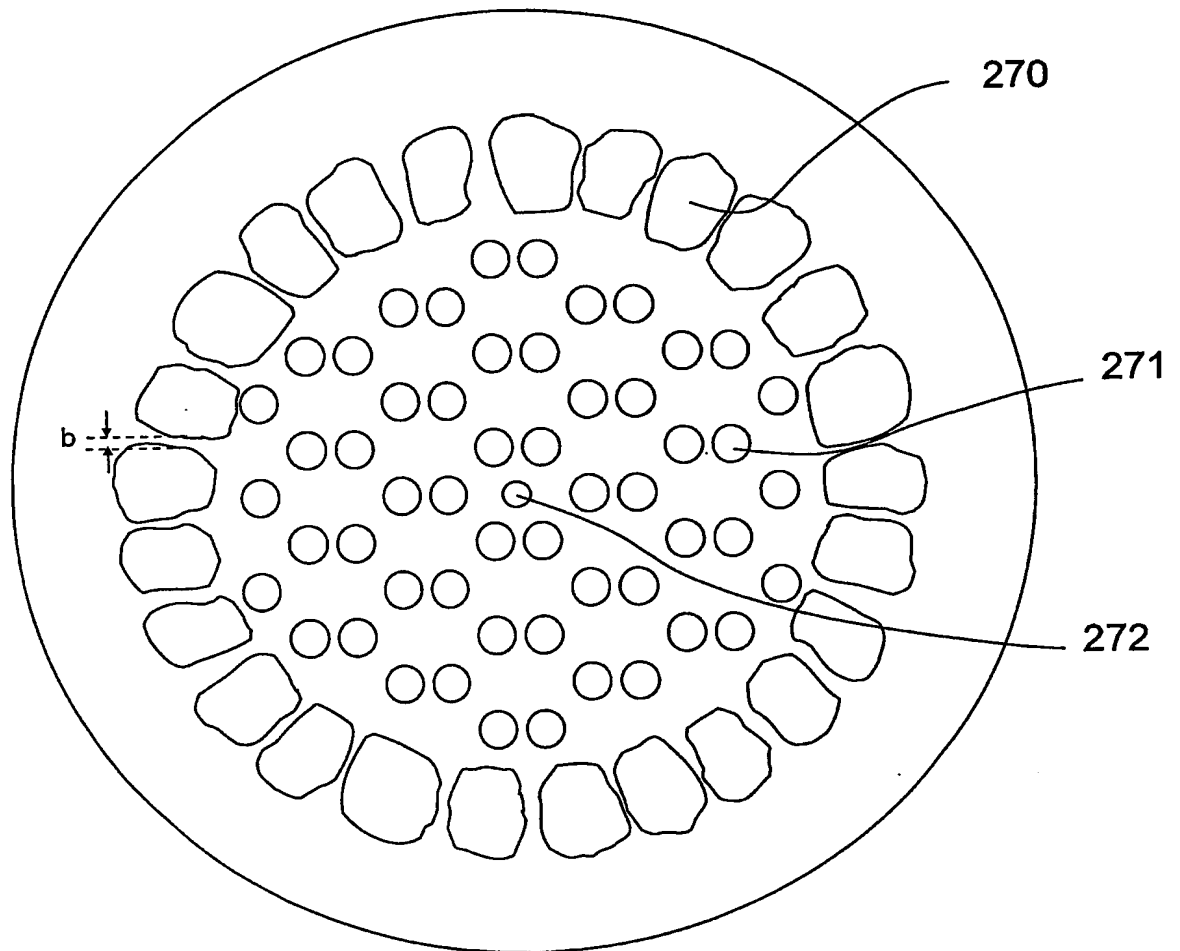




Fig. 28

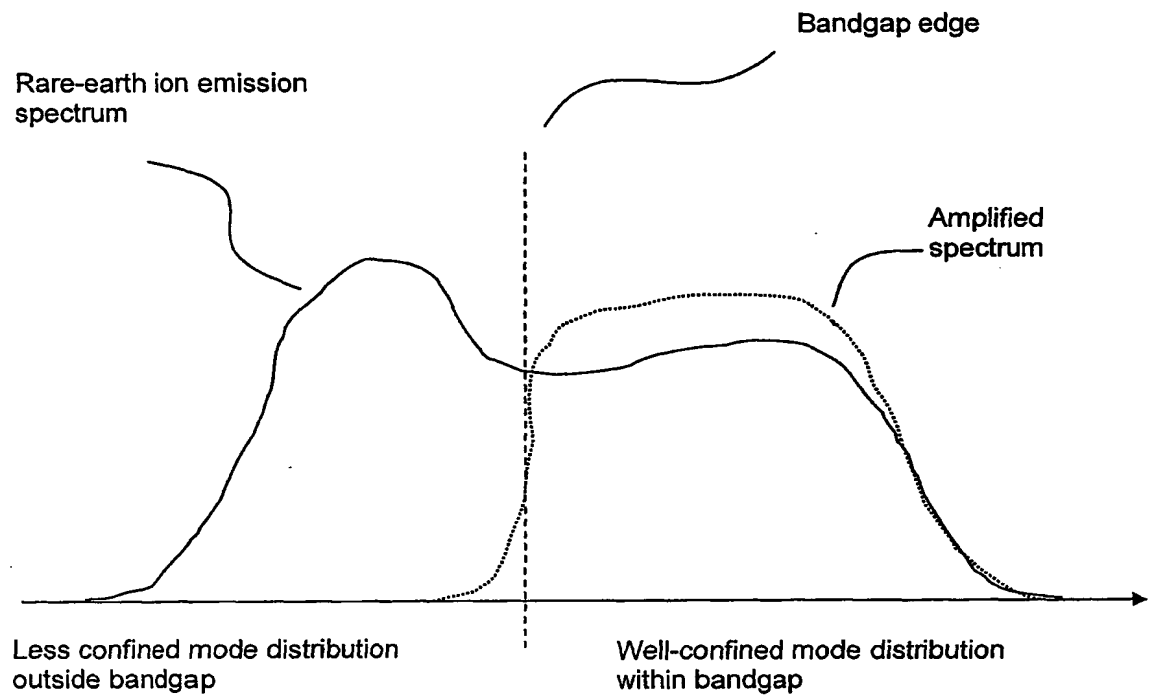


Fig. 29a

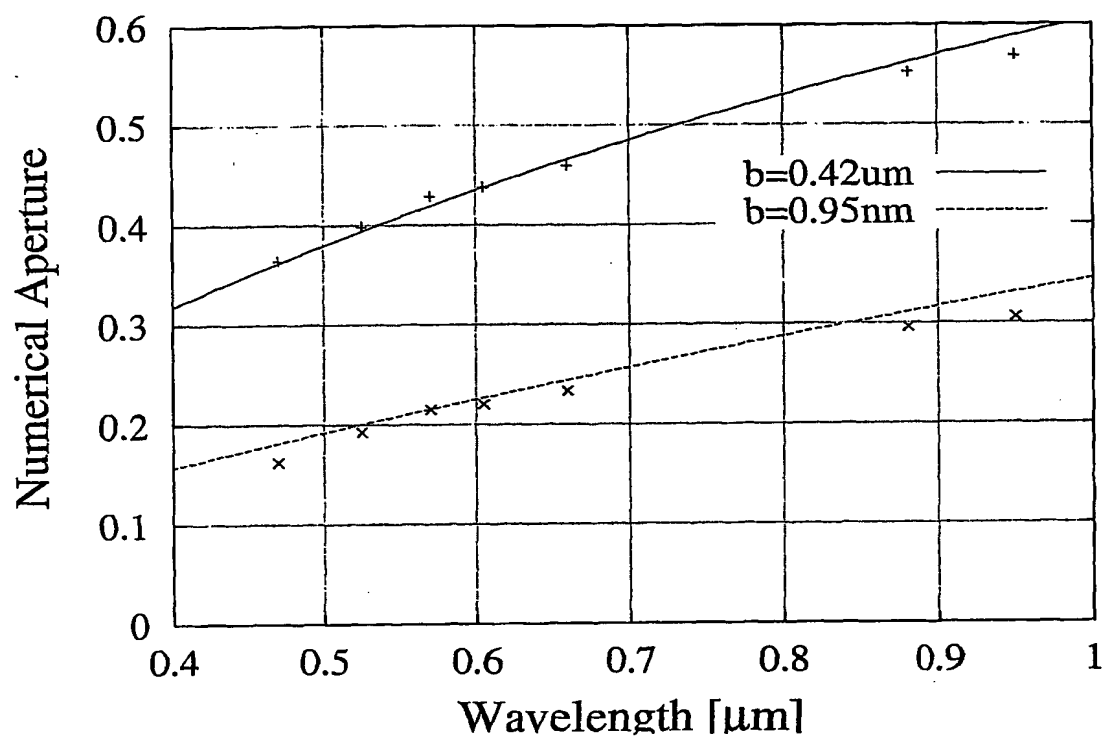


Fig. 29b

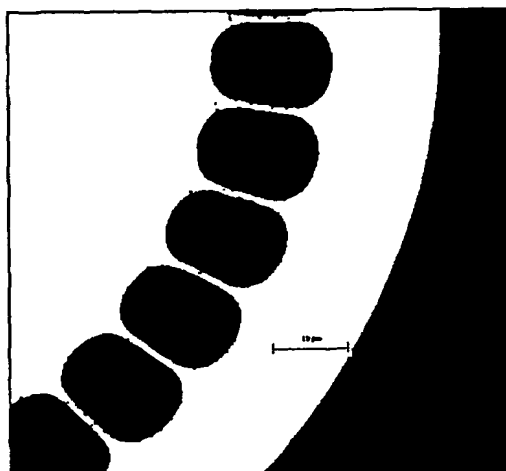


Fig. 30

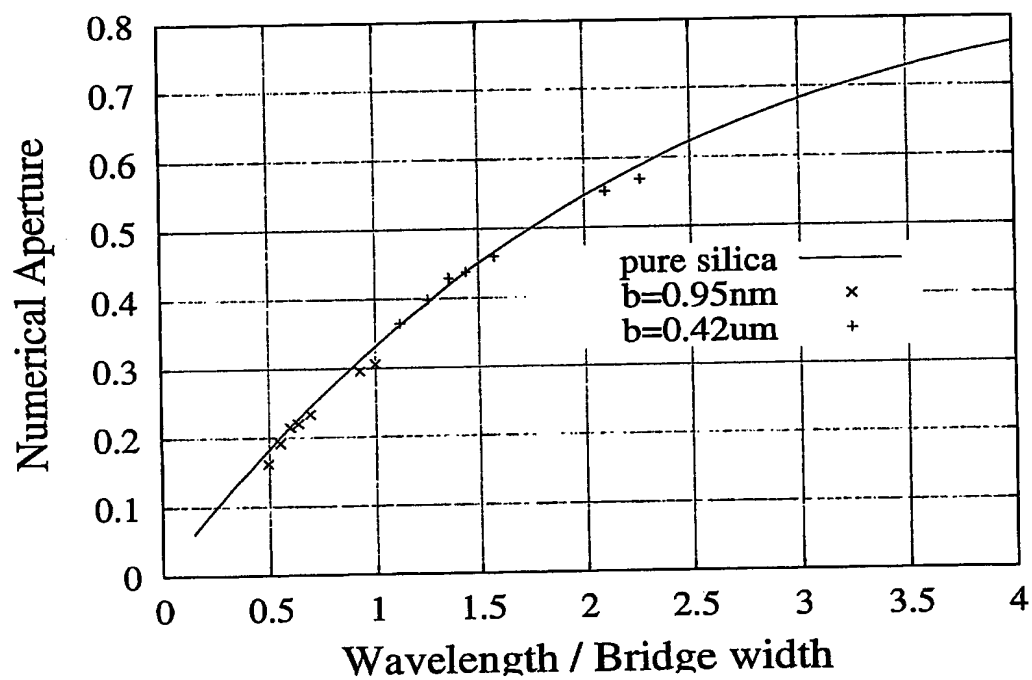


Fig. 31

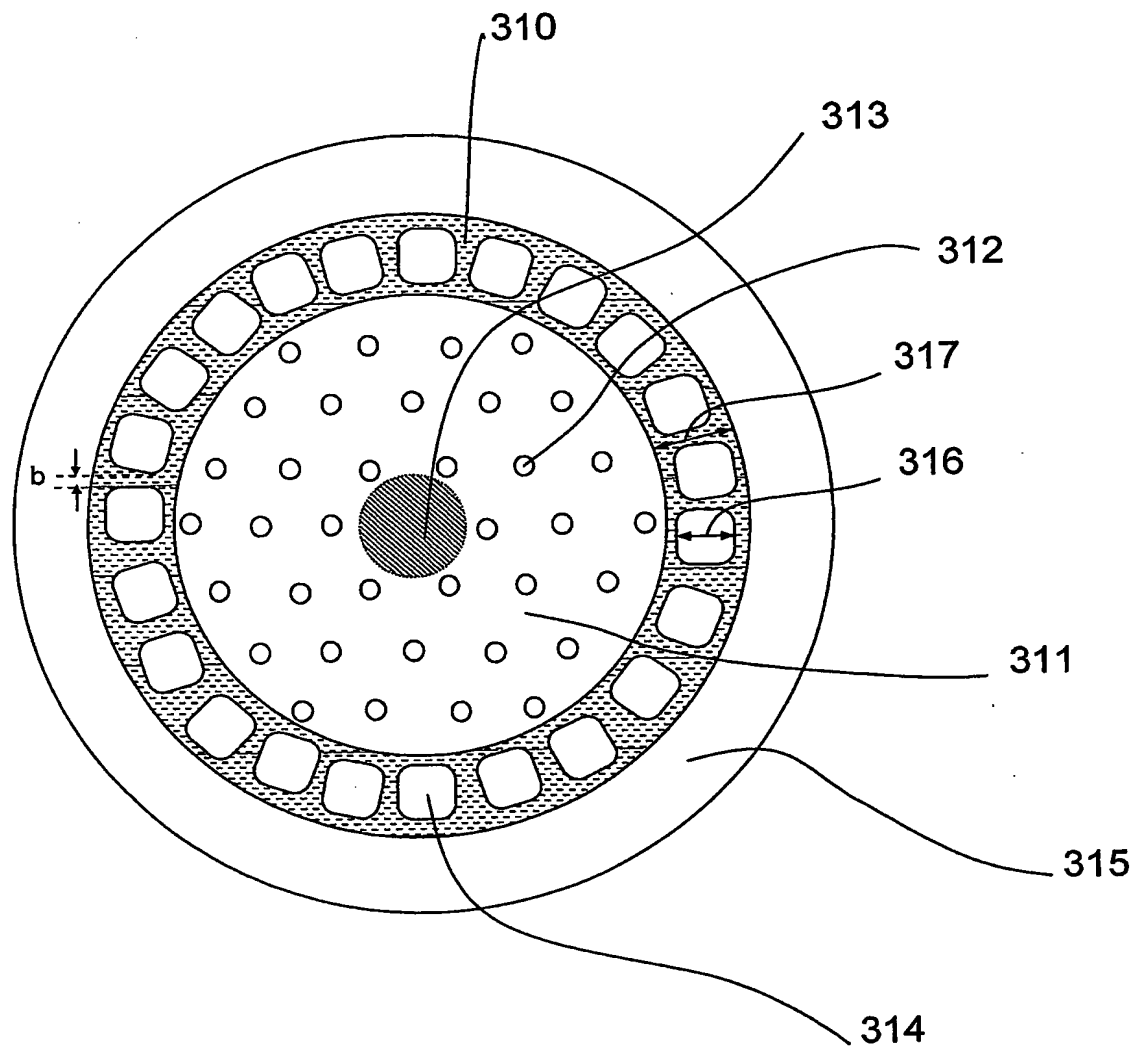


Fig. 32

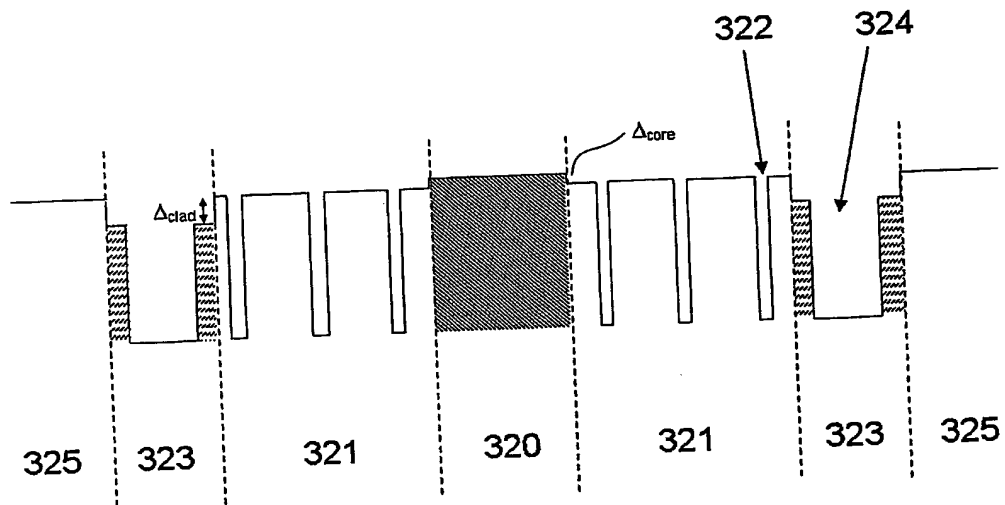
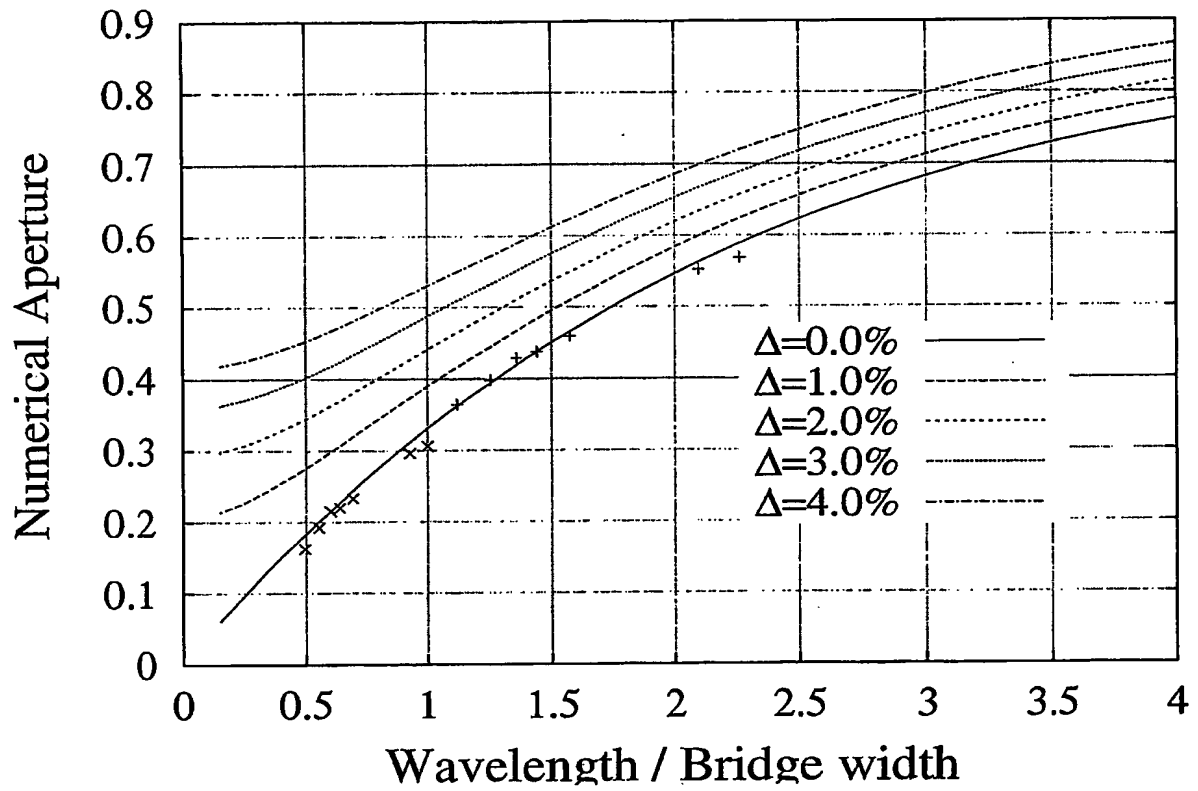


Fig. 33



# INTERNATIONAL SEARCH REPORT

International Application No  
PCT/DK 02/00568

## A. CLASSIFICATION OF SUBJECT MATTER

IPC 7 G02B6/22

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

IPC 7 G02B

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practical, search terms used)

EPO-Internal, WPI Data, PAJ

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category *	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
A	WO 01 37008 A (CORNING INC) 25 May 2001 (2001-05-25) the whole document	1,7,106, 185
A	US 5 802 236 A (DIGIOVANNI DAVID JOHN ET AL) 1 September 1998 (1998-09-01) the whole document	1,7,106, 185
A	US 5 907 652 A (DIGIOVANNI DAVID JOHN ET AL) 25 May 1999 (1999-05-25) the whole document	1,7,106, 185

☐ Further documents are listed in the continuation of box C.

☒ Patent family members are listed in annex.

### \* Special categories of cited documents :

- "A" document defining the general state of the art which is not considered to be of particular relevance
- "E" earlier document but published on or after the international filing date
- "L" document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reason (as specified)
- "O" document referring to an oral disclosure, use, exhibition or other means
- "P" document published prior to the international filing date but later than the priority date claimed

- "T" later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
- "X" document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
- "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art.
- "&" document member of the same patent family

Date of the actual completion of the international search

20 November 2002

Date of mailing of the international search report

05.12.02

Name and mailing address of the ISA

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Fax: (+31-70) 340-3016

Authorized officer

MAGNUS WESTÖÖ/JA A

# INTERNATIONAL SEARCH REPORT

Information on patent family members

International Application No

PCT/DK 02/00568

Patent document cited in search report		Publication date		Patent family member(s)	Publication date
WO 0137008	A	25-05-2001	AU	2423201 A	30-05-2001
			WO	0137008 A2	25-05-2001
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